# FY2020 FCC Form 471 Category 2 Services

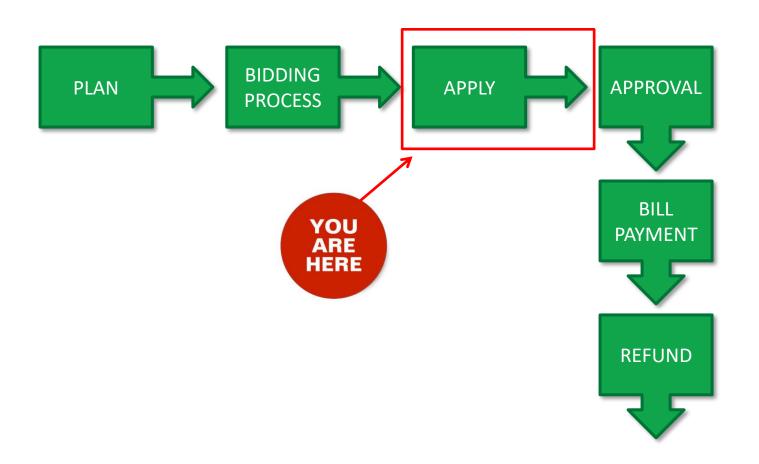


# **Table of Contents**

Topic	Page	
The E-Rate Process		
The Basics		
Form Actions		
Applying for Category 2 Services		
Form 471 Section One: Basic Information	21	
Form 471 Section Two: Entity Information	32	
Form 471 Section Three: Funding Requests	42	
Managing FRN Line Items	88	
Managing Recipients and Costs	97	
Wrapping Up the FRN	112	
Reviewing the Form		
Certifying the Form		
Revisiting Forms		
Next Steps		

# Where does the Form 471 fall in the E-Rate process?







# The Basics



## What is the FCC Form 471?

An indication of which vendor has been selected to provide services and the amount of funding being requested

- Lists individual funding requests
  - Separated by category of service
  - Separated by the selected service providers and eligible services that have been chosen as a result of the FCC Form 470
- Identifies the eligible entities that will receive the services
- Calculates how much funding support is being sought
- Describes requested services and products in detail
- Communicates the discount percentage to which the applicant is entitled
- Certifies the applicant's compliance with program rules



# What should I have already done?

You should have already filed an FCC Form 470, waited at least 28 days, selected the most cost-effective bid, and signed a contract.

Once that is done, you can file an FCC Form 471.



# What should I have already done?

In addition, you should have already submitted your contract information (if applicable) into the Contract Module in EPC.

**Words of caution:** Complete this before beginning the Form 471 to avoid having to stop mid-stream.



# When can I file a Form 471?

- USAC announces a Form 471 filing window each year.
- The earliest possible date that a Form 471 could be filed will be the date established as the opening date of the Form 471 filing window.
- However, the Form 471 cannot be filed until the establishing Form 470 has been posted for at least 28 days and a contract has been signed and dated (if applicable).
- The form must be submitted by 11:59 PM Eastern Time on or before the date that the application filing window closes.

FY2019 Form 471 Filing window: January 15, 2020 at Noon EST – March 25, 2020 at 11:59 PM EDT



# Where do I file a Form 471?

You must file the Form 471 by accessing the account in the EPC Portal for the Billed Entity that will negotiate with potential service providers for eligible products and services.

This is usually the school district, unless an entity is considered to be an independent school.



# How many separate forms do I file?

#### Your choice!

You may file one Form 471

OR

You may file a separate Form 471 for each type of service

However, the system requires separate forms for:

- Category 1 (Data Transmission and/or Internet Access) and
- Category 2 (Internal Connections, Basic Maintenance of Internal Connections, Managed Internal Broadband Services)



# Form Actions: Saving and Discarding the Form



#### **Form Actions**

Once you are in a form, you will be given options at the bottom of every screen to save and continue working on the form or to discard the form altogether.





## **Form Actions**

BACK DISCARD FORM SAVE & SHARE SAVE & CONTINUE

• If you select the "BACK" button, you will be taken back one screen.

NO

• If you select the "DISCARD FORM" button, the entire form will be discarded. Once you confirm that you want to discard the form, it will no longer be available in the EPC system.

This form will now be canceled. Once you take this step, you will

not be able to get it back. Would you like to proceed?

- If you select the "SAVE & SHARE" button, the form will be sent as a task to other full and partial rights users.
- If you select the "SAVE & CONTINUE" button, you will proceed to the next page to continue entering information into the form.

**NOTE:** An error message will display if you select the "SAVE & CONTINUE" button when information is not entered into a required (\*) field or is entered incorrectly. The system will not allow you to continue until the information is corrected.



## **Form Actions**

If, after completing part of the form, you want to save your work and return to it later, click on the "SAVE & CONTINUE" button.

When you return, go to the "Task" link in the blue navigation bar to find a task to create the in-process form.



When you click on the task, your form will open back up where you left off.



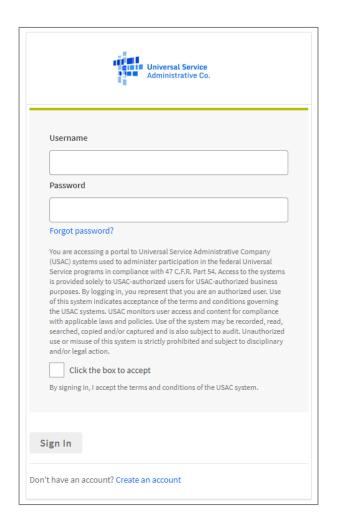
# **Applying For Category 2 Services**

**Note:** You cannot include Category 2 funding requests on a Form 471 containing Category 1 requests. You must file separate applications.

**Words of Caution:** Category 2 funding requests are subject to a budget. Determine your remaining Category 2 budget before completing your Form 471. Funding requests that exceed your budget will be subject to delays during PIA review.



- 1 Navigate to https://forms.universalservice.org/portal
- 2 Log into One Portal with your username (your full email address) and One Portal password





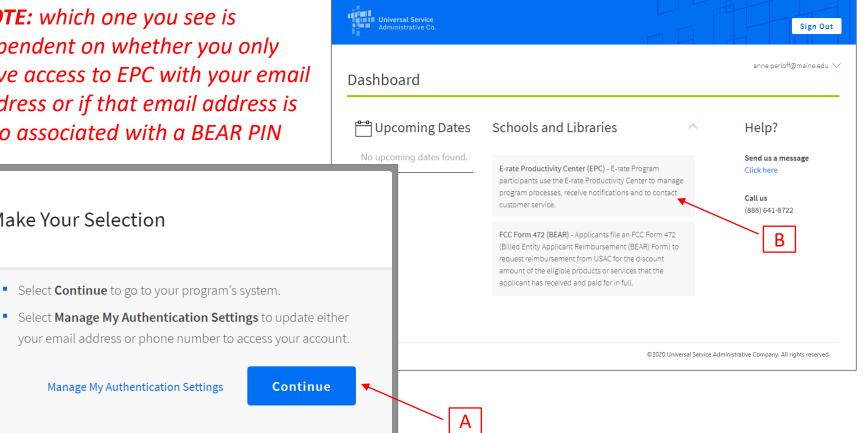
- (3) Complete the steps as prompted to obtain and enter a one-time verification code
- Once you have successfully logged into One Portal, access EPC either through the
- pop-up (click on the "Continue" button) or
- B the dashboard (click on the block for EPC)

**NOTE:** which one you see is dependent on whether you only have access to EPC with your email address or if that email address is also associated with a BEAR PIN

Select Continue to go to your program's system.

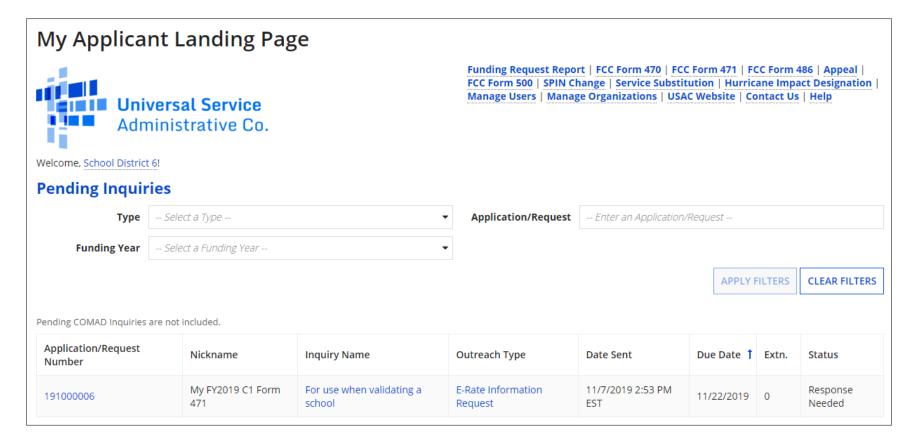
Manage My Authentication Settings

Make Your Selection



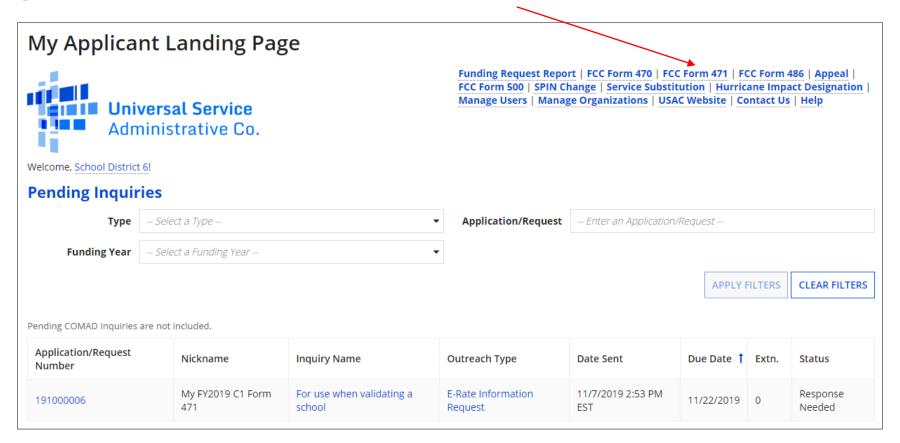
Slide 18

#### Your EPC Landing Page will display





(5) Select the "FCC Form 471" link on your landing page to begin a new FCC Form 471



Words of Caution: Even if you are filing a Form 471 for services to be received by a single school in your district, the form must be filed for the <u>school</u> <u>district</u>. The only exception to this rule is if your school is listed in EPC as independent and is not associated with a school district BEN. As you create the form you will select the entity that will receive the requested service.

ETWORKMAINE

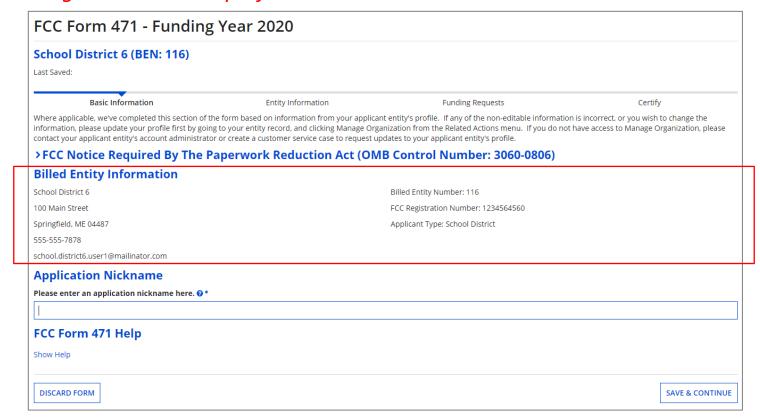
# Form 471 Section One: Basic Information

First, you will review and enter some basic information.



#### [Billed Entity Information]

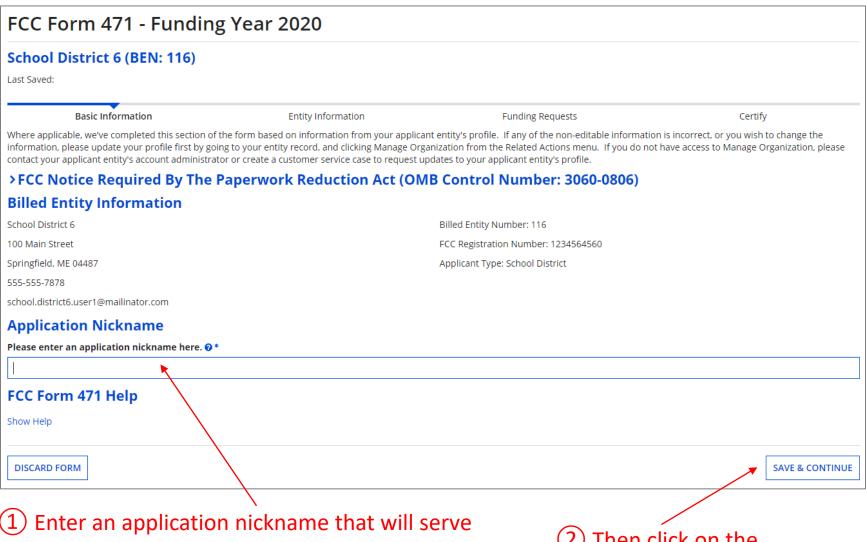
When you begin the FCC Form 471, the basic information about the billed entity, including the Billed Entity Number (BEN), name, and address will automatically populate from the organization's EPC profile.



You cannot edit this information on the form. If you wish to make changes to the information, the Account Administrator can update the organization's profile using the "Manage Organization" function. Changes made in the profile will be automatically updated in the form.

ETWORKMAINE

#### [Application Nickname]



as a reminder for the requests in this form

(2) Then click on the "SAVE & CONTINUE" button to proceed



## [Application Nickname]

The Nickname you entered will now appear at the top of the form along with your Billed Entity Name and Number and the Form 471 Number



Note the breadcrumb trail indicating which section of the form you are currently working on



#### [Consultant Information]

If the account profile indicates that you are using a consultant, the consultant will automatically appear in this section.

Basic Information	Entity Information	Funding Requests	Certif	y	
Next, you will identify the individuals assisting in seeking E-rate support.					
Consultant Information					
Consulting Firms The consulting firms associated with your organ	ization are listed below.				
Name	Consultant Registration Number	Phor	ne Number	Email	

If a consultant has not been added to the profile, no consultant will display. If you are using a consultant, you will need to return to the profile and enter consultant information.

Basic Information Entity Information Funding Requests Certify

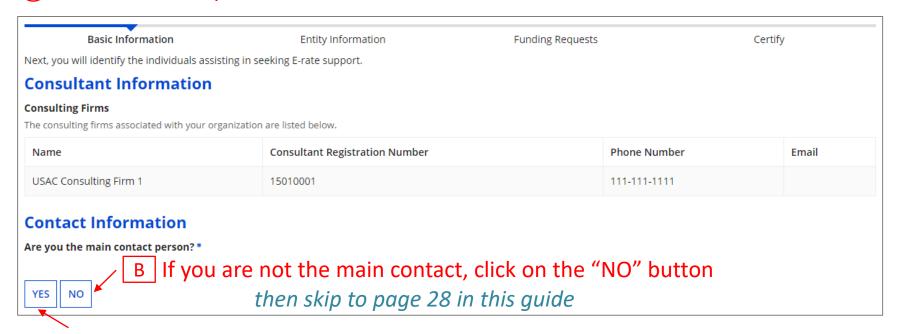
Next, you will identify the individuals assisting in seeking E-rate support.

Consultant Information

There are currently no consulting firms associated with your organization. If this is in error, please update your organization profile.



3 Select a contact person for this form



A If you are the main contact for this form, click on the "YES" button then go to the next page in this guide



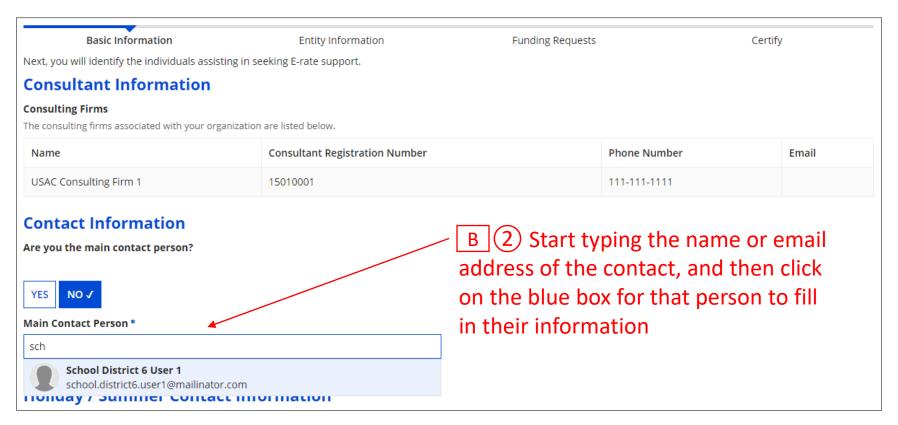
## A 1 If you chose "Yes", your contact info will automatically display

**Basic Information Entity Information** Certify **Funding Requests** Next, you will identify the individuals assisting in seeking E-rate support. **Consultant Information Consulting Firms** The consulting firms associated with your organization are listed below. Name **Consultant Registration Number Phone Number** Email USAC Consulting Firm 1 15010001 111-111-1111 **Contact Information** Are you the main contact person? School District 6 User 1 school.district6.user1@mailinator.com 555-555-5555 NO









**NOTE:** Only those individuals who have been associated with your organization can be the contact person for the form



## [Holiday/Summer Contact Information]

Basic Information

**Entity Information** 

**Funding Requests** 

Certify

Next, you will identify the individuals assisting in seeking E-rate support.

#### **Consultant Information**

#### **Consulting Firms**

The consulting firms associated with your organization are listed below.

Name	Consultant Registration Number	Phone Number	Email
USAC Consulting Firm 1	15010001	111-111-1111	

#### **Contact Information**

Are you the main contact person?

YES ✓ NO

School District 6 User 1

school.district6.user1@mailinator.com

555-555-5555

4 Enter Holiday or Summer contact information

#### **Holiday / Summer Contact Information**

Please provide any alternate contact information to use during holiday/ vacation period including the name of any alternate contact person and how best to reach them.

#### FCC Form 471 Help

Show Help

BACK

DISCARD FORM

SAVE & CONTINUE



## **Basic Information**

**Basic Information** 

**Entity Information** 

**Funding Requests** 

Certify

Next, you will identify the individuals assisting in seeking E-rate support.

#### **Consultant Information**

#### **Consulting Firms**

The consulting firms associated with your organization are listed below.

Name	Consultant Registration Number	Phone Number	Email
USAC Consulting Firm 1	15010001	111-111-1111	

#### **Contact Information**

Are you the main contact person?

School District 6 User 1

school.district6.user1@mailinator.com

555-555-5555



#### **Holiday / Summer Contact Information**

Contact John Doe at johndoe@schooldistrict6.org during July and August

Jane Smith (janesmith@schooldistrict6.org / 207-555-5555) serves as an alternate contact person for this form

Please provide any alternate contact information to use during holiday/ vacation period including the name of any alternate contact person and how best to reach them.

#### FCC Form 471 Help

Show Help

5 Click on the "SAVE & CONTINUE" button to proceed

BACK

DISCARD FORM

SAVE & CONTINUE



# Form 471 Section Two: Entity Information

Next, information about the entity will be provided from its profile.



#### [Category of Service]

# 1 Click on the "CATEGORY 2" button to begin a Form 471 for Category 1 services



#### Words of Caution:

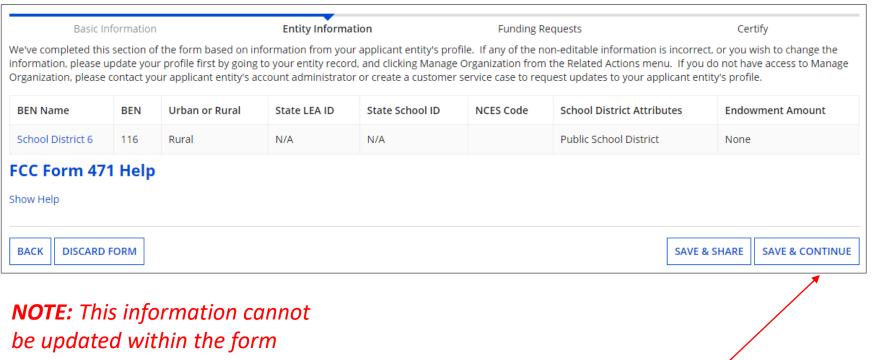
Once you have selected a category and leave this page, you cannot change the selection.

The system will not allow you to file for both Category 1 and Category 2 requests on the same Form 471. You must file separate applications.

#### [District Information]

Information about the school district will display

Be careful! Clicking on the link for the school district will take you out of the form to the organization's details.



(3) Click on the "SAVE & CONTINUE" button

#### [Related Entities Information]

Information about the schools and NIFs in the district will display in a table

Be careful! Clicking on the link for a building will take you out of the form to the entity's details.

Basic Information **Entity Information Funding Requests** Certify We've completed this section of the form based on information from your applicant entity's profile. If any of the non-editable information is incorrect, or you wish to change the information, please update your profile first by going to your entity record, and clicking Manage Organization from the Related Actions menu. If you do not have access to Manage Organization, please contact your applicant entity's account administrator or create a customer service case to request updates to your applicant entity's profile. Related Entity(ies) Information **Entity Details** Fields with '\*' next to them are not sortable # of students that Student Urban State State attend **NCES** Entity Entity Count Alternative CEP School Endowment NIF\* LEA School this Annexes\* or Number Based on Attributes\* Name Code Discount Percentage Amount ID ID school full Rural Estimate\* time or part time\* School View District 119 Rural N/A N/A N/A N/A N/A N/A None Annexes 6 NIF School District Public View 117 110 Rural N/A None N/A School, None Annexes School Pre-K Α School Public District School, View 118 Rural 230 N/A None N/A None Tribal Annexes School School FCC Form 471 Help Show Help **BACK** DISCARD FORM **SAVE & CONTINUE** 

**NOTE:** This information cannot be updated within the form



Slide 35

#### [Discount Calculation]

#### Information about the discount calculation for the district will display

Basic Information **Entity Information Funding Requests** Certify We've completed this section of the form based on information from your applicant entity's profile. If any of the non-editable information is incorrect, or you wish to change the information, please update your profile first by going to your entity record, and clicking Manage Organization from the Related Actions menu. If you do not have access to Manage Organization, please contact your applicant entity's account administrator or create a customer service case to request updates to your applicant entity's profile. **Requested Discount Calculation** More than 50 percent of your individual schools must be rural for the school district to be considered rural. This discount rate has not been approved for the current year School District Full-time School District NSLP School District NSLP School District Urban/Rural Category One Discount Category Two Discount Enrollment Count Percent Status Rate Rate 170 50% Rural 80% 80% 340 Clicking on the "SHOW ENTITIES" button will display the schools in **SHOW ENTITIES** the district. NIFs will not display as they use the district's discount. FCC Form 471 Help Show Help **BACK** DISCARD FORM **SAVE & CONTINUE** 



## [Discount Calculation]

Basic Information Entity Information Funding Requests Certify

We've completed this section of the form based on information from your applicant entity's profile. If any of the non-editable information is incorrect, or you wish to change the information, please update your profile first by going to your entity record, and clicking Manage Organization from the Related Actions menu. If you do not have access to Manage Organization, please contact your applicant entity's account administrator or create a customer service case to request updates to your applicant entity's profile.

#### **Requested Discount Calculation**

More than 50 percent of your individual schools must be rural for the school district to be considered rural.

This discount rate has not been approved for the current year

School District Full-time	School District NSLP	School District NSLP	School District Urban/Rural	Category One Discount	Category Two Discount
Enrollment	Count	Percent	Status	Rate	Rate
340	170	50%	Rural	80%	80%

HIDE ENTITIES

#### · Clicking on the "HIDE ENTITIES" button will collapse the list

#### **Entity Details**

Organization	BEN	Total Number Of Students Enrolled In School	Total Number Of Students in School Eligible For NSLP	Urban/Rural Status	Alternative Discount
School District 6 School A	117	110	55	Rural	None
School District 6 School B	118	230	115	Rural	None

#### FCC Form 471 Help

Show Help

BACK DISCARD FORM

**SAVE & CONTINUE** 



## [Discount Calculation]

If you see an error such as the red box below, you must return to your organization's profile to determine what is causing the issue. The information cannot be updated within the form.

Basic Information **Entity Information Funding Requests** Certify We've completed this section of the form based on information from your applicant entity's profile. If any of the non-editable information is incorrect, or you wish to change the information, please update your profile first by going to your entity record, and clicking Manage Organization from the Related Actions menu. If you do not have access to Manage Organization, please contact your applicant entity's account administrator or create a customer service case to request updates to your applicant entity's profile. Requested Discount Calculation More than 50 percent of your individual schools must be rural for the school district to be considered rural. The information in your organization's profile is not sufficient to calculate your Discount Rate. School District Full-time School District NSLP School District NSLP School District Urban/Rural Category One Discount Category Two Discount Enrollment Count Percent Status Rate 340 170 50% **SHOW ENTITIES** FCC Form 471 Help Show Help **BACK** DISCARD FORM **SAVE & CONTINUE** 



# Help! I need to update entity information

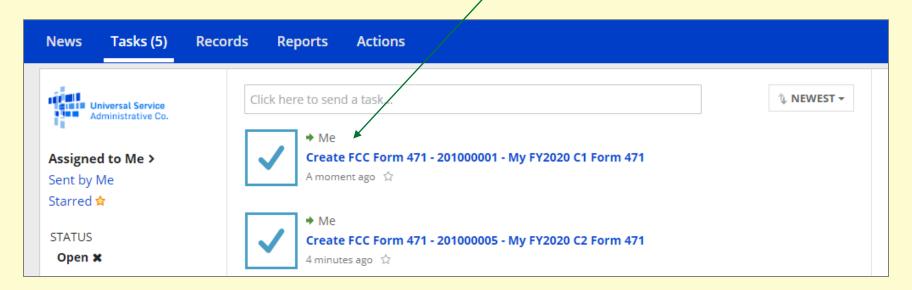
Once the Administrative Window has closed, your organization's profile data will be locked. You will need to contact USAC's Client Services Bureau at 1-888-203-8100 for assistance in making any changes.



# Help! I left the form and can't get back in!

If you clicked on something that brought you to a place outside of the form you are currently creating, you can re-access the form by:

- 1 Clicking on the "Tasks" link in the blue navigation bar
- 2 And then clicking on the task to create the the in-process form





# **Entity Information**

Basic Information Entity Information Funding Requests Certify

We've completed this section of the form based on information from your applicant entity's profile. If any of the non-editable information is incorrect, or you wish to change the information, please update your profile first by going to your entity record, and clicking Manage Organization from the Related Actions menu. If you do not have access to Manage Organization, please contact your applicant entity's account administrator or create a customer service case to request updates to your applicant entity's profile.

#### **Requested Discount Calculation**

More than 50 percent of your individual schools must be rural for the school district to be considered rural.

This discount rate has not been approved for the current year

School District Full-time	School District NSLP	School District NSLP	School District Urban/Rural	Category One Discount	Category Two Discount
Enrollment	Count	Percent	Status	Rate	Rate
340	170	50%	Rural	80%	80%

**SHOW ENTITIES** 

#### FCC Form 471 Help

Show Help

(5) Click on the "SAVE & CONTINUE" button to proceed

BACK

DISCARD FORM

**SAVE & CONTINUE** 



# Form 471 Section Three: Funding Requests

Next, you will create the funding requests.

This section has several purposes --- to indicate the requested services and to indicate which entities are receiving those services.



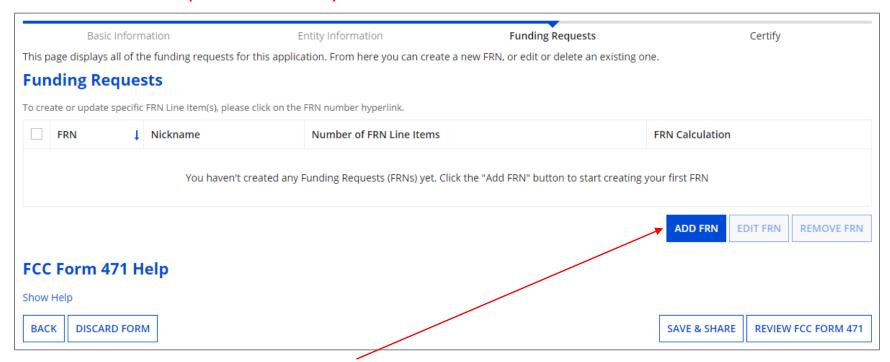
# **Funding Requests**

A funding request is a service or group of services that share a common service type, initiating Form 470, service provider, and contract (if a contract is cited)

Each funding request will be identified by its funding request number or FRN

Creating an FRN in EPC is a two-step process:

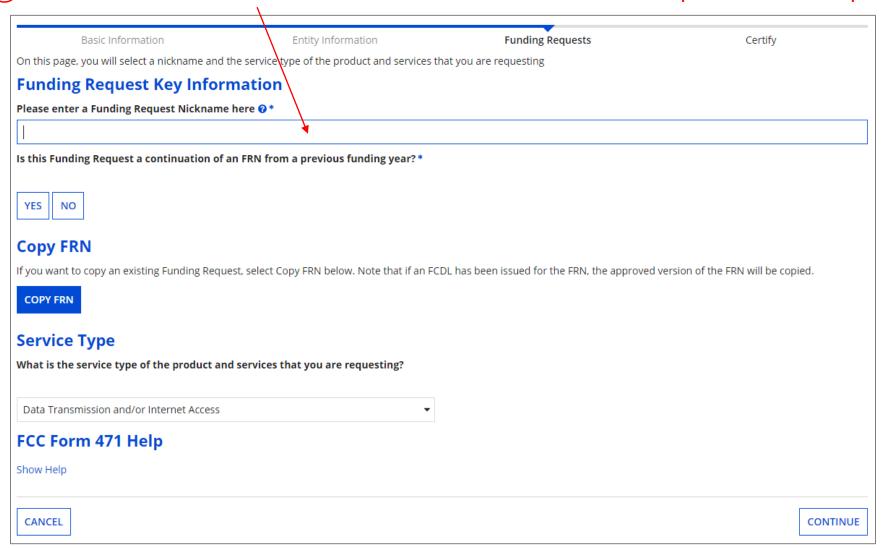
- Enter "high level" (FRN) information, then
- 2. Enter details (FRN line items)



1 Click on the "ADD FRN" button to create a new Funding Request

## [FRN Nickname]

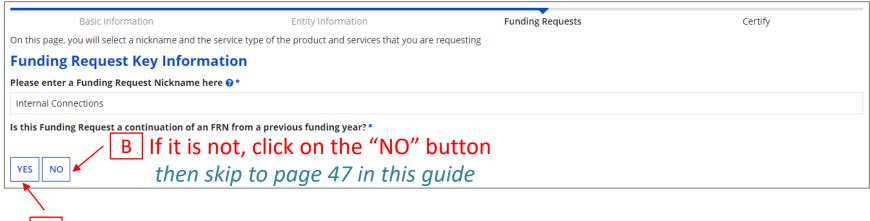
2 Enter a Nickname for this FRN that will serve as a reminder of this specific service request





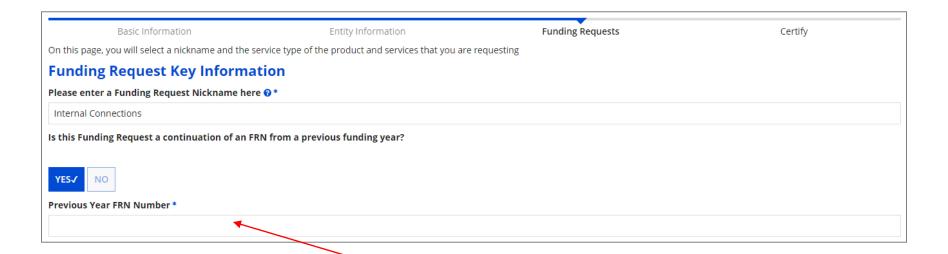
## [Continuing FRN]

3 Determine whether or not this Funding Request is a continuation of a request from a previous funding year (for example, the second year of a multi-year contract)



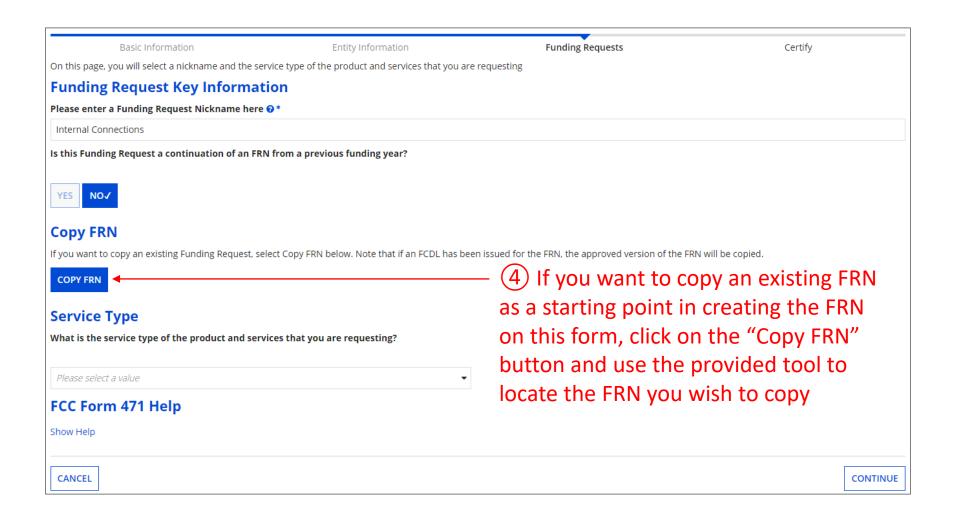
A If it is a continuation, click on the "YES" button then go to the next page in this guide

## [Continuing FRN]



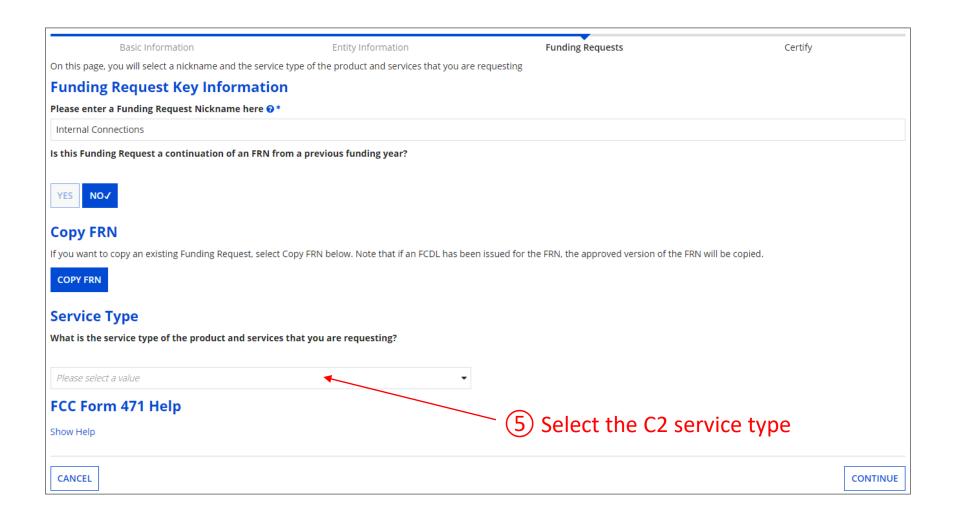
A 1 If you chose "Yes", enter the FRN number in the "Previous Year FRN Number" text box that now displays on the page







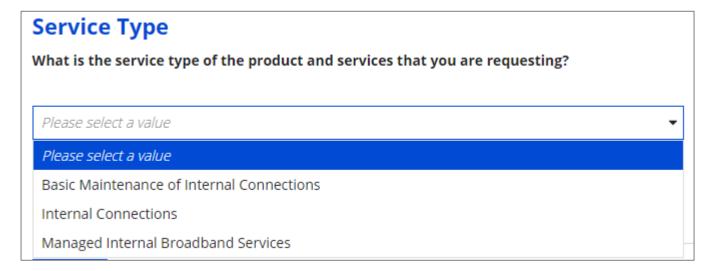
## [Service Type]





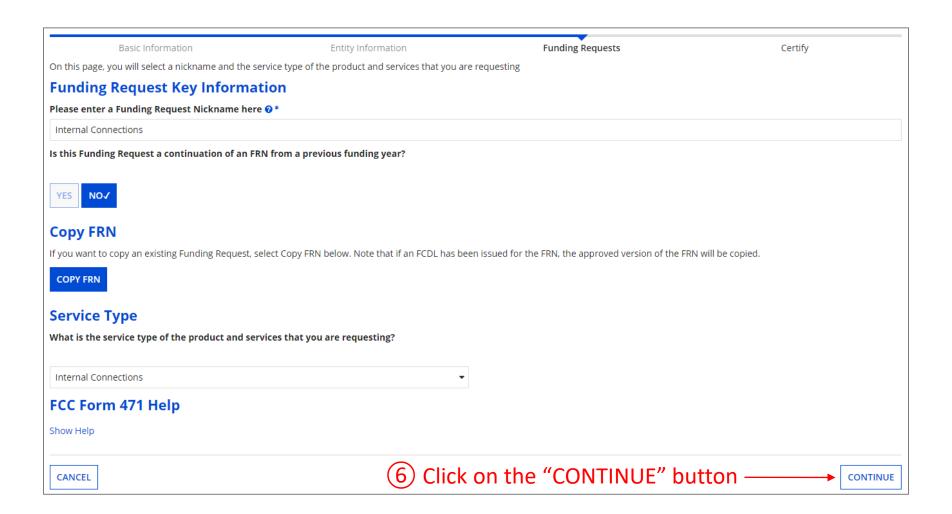
## [Service Type]

The displayed service type choices are based on whether Category 1 or Category 2 was chosen previously in creating this form.



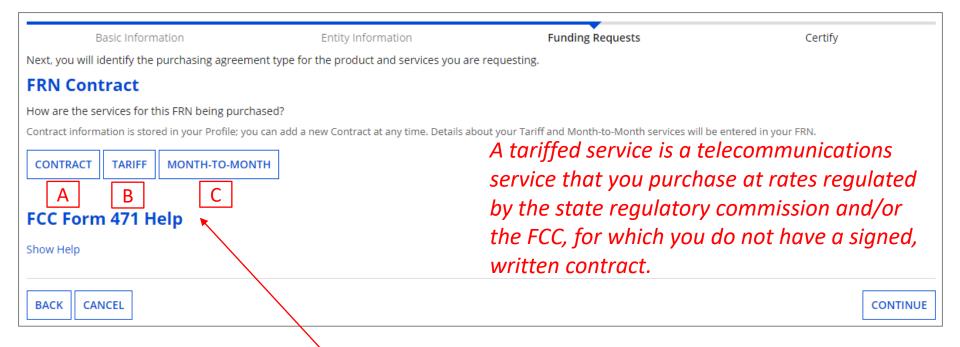


# **Funding Requests**





## [FRN Contract]



- 7 Choose the appropriate method describing how services for this particular FRN are being purchased
- 8 Then click on the "CONTINUE" button on this screen
  - A Contract  $\rightarrow$  go to the next page in this guide
  - B Tariff  $\rightarrow$  skip to page 60 in this guide
  - C Month-to-Month  $\rightarrow$  skip to page 73 in this guide



## [Contract]



If you chose "Contract" and you have not yet added the contract for this FRN to the Contracts Module, complete the steps outlined in the "Contracts Module" training guide before completing the following steps





A 1 Search for a contract by entering a value in any of the search boxes

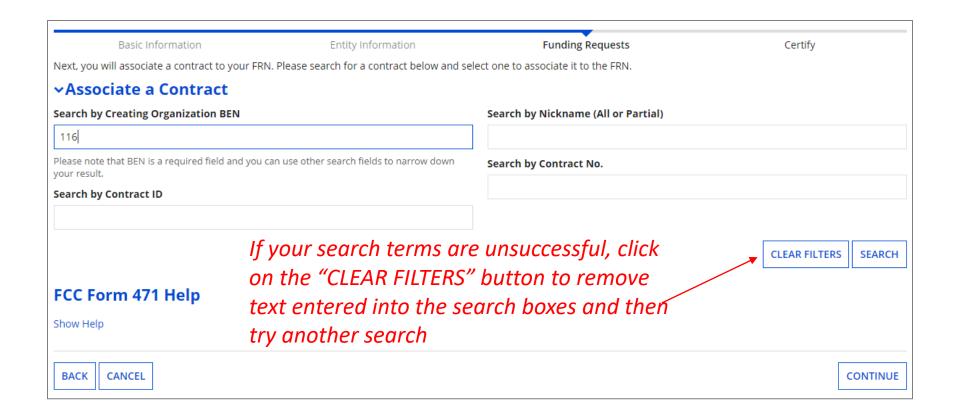
**NOTE:** By default, the BEN for the organization is listed. If you know none of the other information, you can simply search by BEN.

Basic Information	Entity Information	Funding Requests	Certify
Next, you will associate a contract to your FRN. Please	search for a contract below and s	elect one to associate it to the FRN.	
<b>∨</b> Associate a Contract			
Search by Creating Organization BEN		Search by Nickname (All or Partial)	
116			
Please note that BEN is a required field and you can use of your result.	her search fields to narrow down	Search by Contract No.	
Search by Contract ID			
			CLEAR FILTERS SEARCH
FCC Form 471 Help			
Show Help			
BACK CANCEL			CONTINUE

A 2 Click on the "SEARCH" button to locate contracts matching the entered criteria



## [Contract]





## [Contract]

Basic Informatio		tity Information		nding Requests		Certify
Next, you will associate a cont	ract to your FRN. Please search	for a contract below and se	elect one to associ	ate it to the FRN.		
→Associate a Cont	ract					
Search by Creating Organiza	ation BEN		Search by Nic	kname (All or Partial)		
116			My Contract			
Please note that BEN is a require your result.	ed field and you can use other sea	rch fields to narrow down	Search by Co	ntract No.		
Search by Contract ID						
M3 Soloc	t the checkbox	for the correc	rt contra	ct for this ER	N I	
/ Selec	t the thethoux	ior the correc	L COITTIAL			CLEAR FILTERS SEARCH
Gardena et ID	Combined Number	Mislans		Assert Bata	C	ation DEN
Contract ID	Contract Number	Nickname		Award Date	Creating Organiz	ation BEN
800		My Contract		1/1/2017	116	
6711		My Contract for Servic	es	1/1/2020	116	
FCC Form 471 Help	o					
BACK CANCEL						CONTINUE



# Summary information about the selected contract will display from the Contracts Module



	Contract ID	Contract Number	Nickname	Award Date	Creating Organization BEN
	800		My Contract	1/1/2017	116
~	6711		My Contract for Services	1/1/2020	116

#### **Contract Summary - My Contract for Services**

Contract Number Account Number

Establishing FCC #190000004

Form 470

**Award Date** 1/1/2020

Expiration Date (All 6/30/2025

Extensions)

Service Provider USAC Service Provider Organization 1 (SPIN: 14010001)

Includes Voluntary Yes

Extensions?

Remaining Voluntary 4

**Extensions** 

Total Remaining 60 Contract Length

#### **Pricing Confidentiality**

There is no rule, statue, or other restriction which prohibits publication of the specific pricing information for this contract.

#### **Contract Information (Additional)**

What i	s the	service	start	date? @ *	
vviiat i	3 LIIC	SEL VICE	Stait	uate:	

07/01/2020

Enter the date when services will start for this Funding Year

#### What is the date your contract expires for the current term of the contract? 9 \*

mm/dd/yyyy

#### FCC Form 471 Help

Show Help

BACK CANCEL



## [Contract]

	Contract ID	Contract Number	Nickname	Award Date	Creating Organization BEN
	800		My Contract	1/1/2017	116
~	6711		My Contract for Services	1/1/2020	116

#### **Contract Summary - My Contract for Services**

Contract Number

Establishing FCC #190000004

Form 470

**Award Date** 1/1/2020

Expiration Date (All 6/30/2025

Extensions)

Account Number

Service Provider USAC Service Provider Organization 1 (SPIN: 14010001)

What is the date your contract expires for the current term of the contract? ? \*\*

Includes Voluntary Yes Extensions?

Remaining Voluntary 4

Extensions

Total Remaining 60 Contract Length

#### **Pricing Confidentiality**

There is no rule, statue, or other restriction which prohibits publication of the specific pricing information for this contract.

#### **Contract Information (Additional)**

What is the service start date? 😯 \*

Enter the date when services will start for this Funding Year

FCC Forms 474 Holes if

FCC Form 471 Help

Show Help

07/01/2020

A 4 Change the date that services will start, if necessary. The default is the first day of the funding year for which you are applying.

mm/dd/yyyy

BACK CANCEL



## [Contract]

	Contract ID	Contract Number	Nickname	Award Date	Creating Organization BEN
	800		My Contract	1/1/2017	116
~	6711		My Contract for Services	1/1/2020	116

#### **Contract Summary - My Contract for Services**

**Contract Number** 

Establishing FCC #190000004

Form 470

Award Date 1/1/2020

Expiration Date (All 6/30/2025

Extensions)

Account Number

Service Provider USAC Service Provider Organization 1 (SPIN: 14010001)

Includes Voluntary Yes Extensions?

Remaining Voluntary 4 Extensions

> Total Remaining 60 Contract Length

#### **Pricing Confidentiality**

There is no rule, statue, or other restriction which prohibits publication of the specific pricing information for this contract.

#### **Contract Information (Additional)**

What is the service start date? ? \*

07/01/2020

Enter the date when services will start for this Funding Year

What is the date your contract expires for the current term of the contract?  ${\it 0}^*$ 

mm/dd/yyyy 🔻

A 5 Enter the contract expiration date (must be spelled out in the contract)

#### FCC Form 471 Help

Show Help

BACK

CANCEL



	Contract ID	Contract Number	Nickname	Award Date	Creating Organization BEN
	800		My Contract	1/1/2017	116
~	6711		My Contract for Services	1/1/2020	116

#### **Contract Summary - My Contract for Services**

Contract Number

Establishing FCC #190000004

Form 470

Award Date 1/1/2020

Expiration Date (All 6/30/2025

Extensions)

**Account Number** 

Service Provider USAC Service Provider Organization 1 (SPIN: 14010001)

Includes Voluntary Yes **Extensions?** 

Remaining Voluntary 4

**Extensions** 

Total Remaining 60 **Contract Length** 

#### **Pricing Confidentiality**

There is no rule, statue, or other restriction which prohibits publication of the specific pricing information for this contract.

#### **Contract Information (Additional)**

What is the service start date? ? \*

07/01/2020

Enter the date when services will start for this Funding Year

What is the date your contract expires for the current term of the contract? 2 \*

06/30/2021

#### FCC Form 471 Help

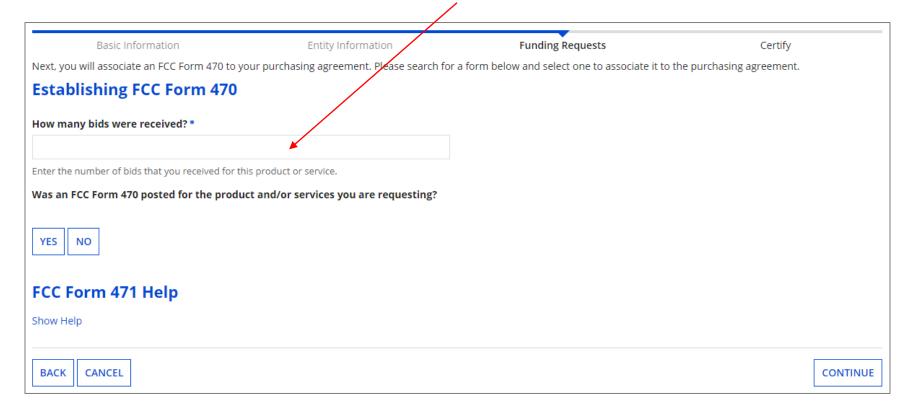
A 6 Click on the "CONTINUE" button to proceed

Show Help

**BACK** CANCEL



B 1 If you chose "Tariff", enter the number of bids received

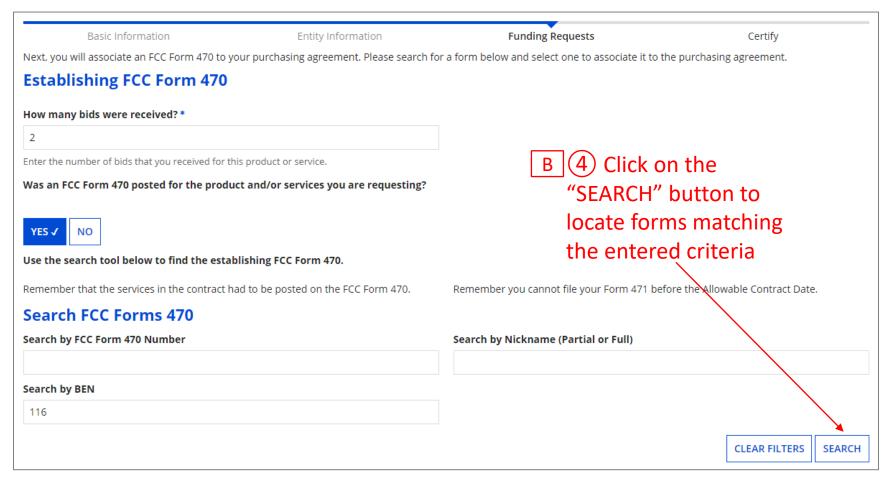




Basic Information	Entity Information	Funding Requests	Certify
Next, you will associate an FCC Form 470 to y	our purchasing agreement. Please search for	a form below and select one to associate it to th	e purchasing agreement.
<b>Establishing FCC Form 470</b>			
How many bids were received? *			
2			
Enter the number of bids that you received for the	his product or service.		
Was an FCC Form 470 posted for the produ	uct and/or services you are requesting?		
YES NO			
FCC Form 471 Help B	2 Click on the "YES"	button to	
Show Help indi	cate that you posted a	Form 470	
BACK CANCEL			CONTIN







B 3 Locate the Form 470 by entering a value in any of the search boxes

**NOTE:** By default, the BEN for the organization is listed. If you know neither the Form 470 Number nor the Form 470 Nickname, you can simply search by BEN.





Basic Information	Entity Information	Funding Requests	Certify
		for a form below and select one to associate it to the	•
Establishing FCC Form 470			
_			
How many bids were received? *			
2			
Enter the number of bids that you received for this prod	uct or service.		
Was an FCC Form 470 posted for the product and	or services you are requesting?		
YES  NO Use the search tool below to find the establishin	g FCC Form 470.		
Remember that the services in the contract had to b	e posted on the FCC Form 470.	Remember you cannot file your Form 471 before	e the Allowable Contract Date.
Search FCC Forms 470			
Search by FCC Form 470 Number		Search by Nickname (Partial or Full)	
Search by BEN			
116			
			CLEAR FILTERS SEARCH

If your search terms are unsuccessful, click on the "CLEAR FILTERS" button to remove / text entered into the search boxes and then try another search



#### Results matching the search criteria you used will appear in a list

Search by FCC Form 470 Number Search by Nickname (Partial or Full) FY2020 Search by BEN 116 CLEAR FILTERS SEARCH FCC Form 470 Number Nickname † Funding Year **BEN Name** Allowable Contract Date Service Type Data Transmission and/or Internet Access; 20000001 My FY2020 Form 470 116 School District 6 7/29/2019 Internal Connections FCC Form 471 Help Show Help **BACK** CANCEL CONTINUE

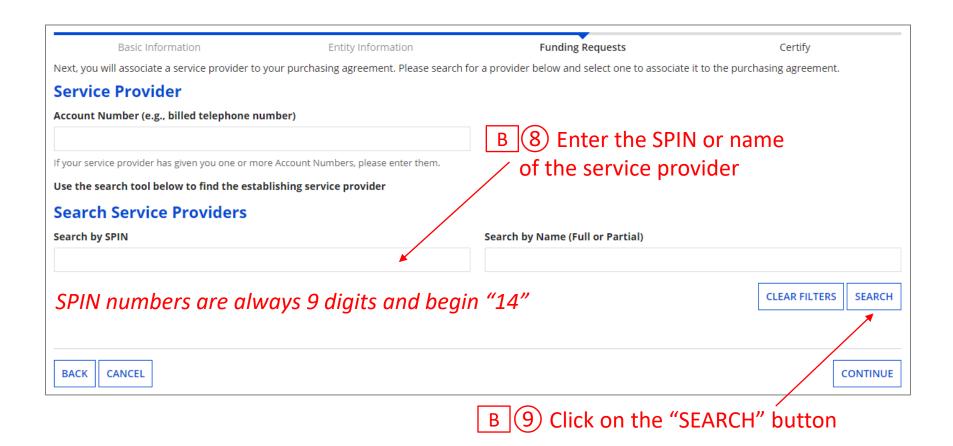
Search FCC Forms 470

(5) Click the check box for the establishing Form 470 that resulted in this particular signed contract

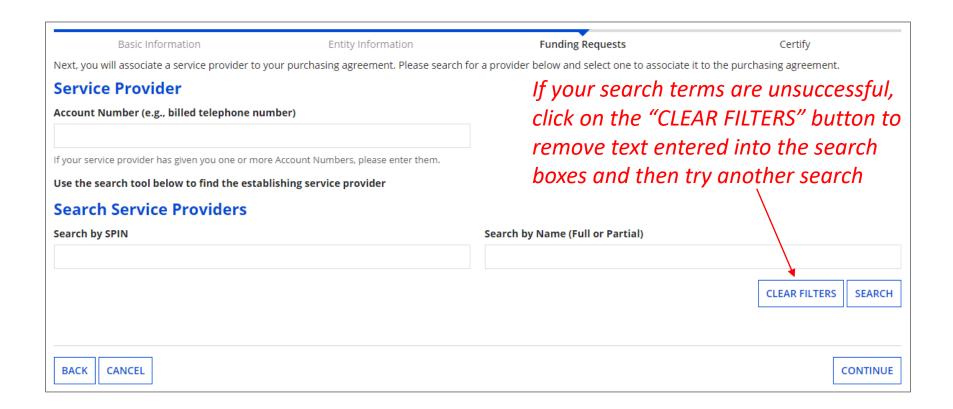
(6) Then click on the "CONTINUE" button to proceed

Basic Information	Entity Information	Funding Requests	Certify			
Next, you will associate a service provider to your pu	irchasing agreement. Please search	for a provider below and select one to associate	it to the purchasing agreement.			
Service Provider  Account Number (e.g., billed telephone number)  If your service provider has given you one or more Account Use the search tool below to find the establishing	unt Numbers, please enter them.	B 7 Enter the number if you one (not requ	u have			
Search Service Providers						
Search by SPIN		Search by Name (Full or Partial)				
			CLEAR FILTERS SEARCH			
BACK CANCEL			CONTINUE			





## [Tariff]





### Results matching the search criteria you used will appear in a list

	nation	tion Entity Information		nding Requests	Certify
ext, you will associate a	service provider to you	ur purchasing agreement. Please sea	rch for a provider belov	w and select one to associate it to t	he purchasing agreement.
ervice Provide	er				
ccount Number (e.g., l	illed telephone numl	per)			
		Account Numbers, please enter them.			
		shing service provider			
search Service		annig service provider			
earch by SPIN	Providers		Search by Nar	me (Full or Partial)	
3 a 11 a		usac			
					CLEAR FILTERS SEARCE
SPIN	Name	Name		Doing Business As	State
14010001	USAC Service I	USAC Service Provider Organization 1			VA
14010002	USAC Service I	USAC Service Provider Organization 2			VA
	USAC Service I	USAC Service Provider Organization 3			VA
14010003					

B 10 Click the check box for the appropriate service provider

B 11 Click on the "CONTINUE" button

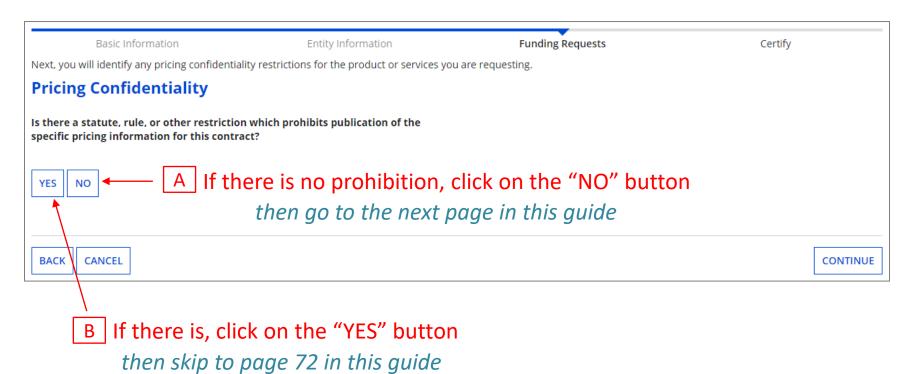
## [Tariff]





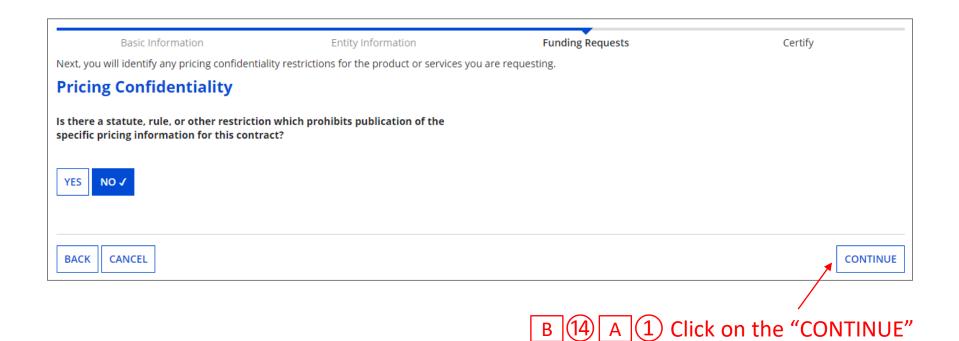
## [Tariff]

B 14 Determine if there is a statute, rule, or other restriction that prohibits pricing transparency



**Words of Caution:** There must be an actual statute in place. It is not sufficient for the vendor to simply state that their pricing is confidential. When in doubt, click on "No"!

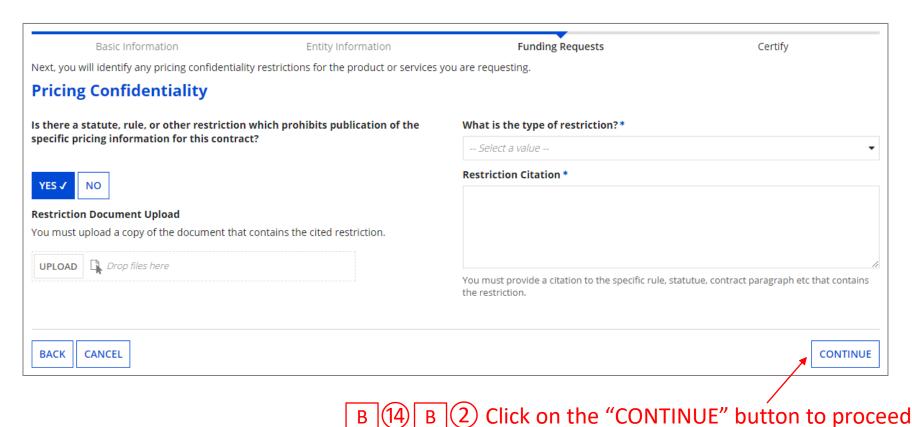






button to proceed

B 14 B 1 Provide the type of restriction and the citation, and upload a file that documents the restriction

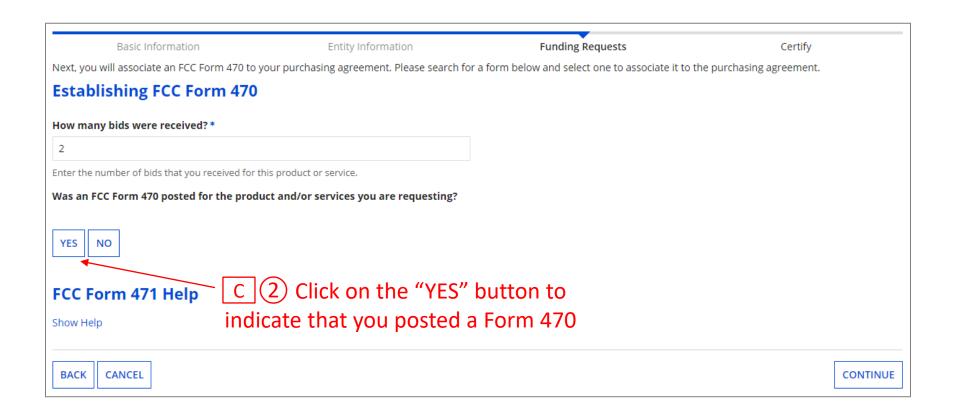




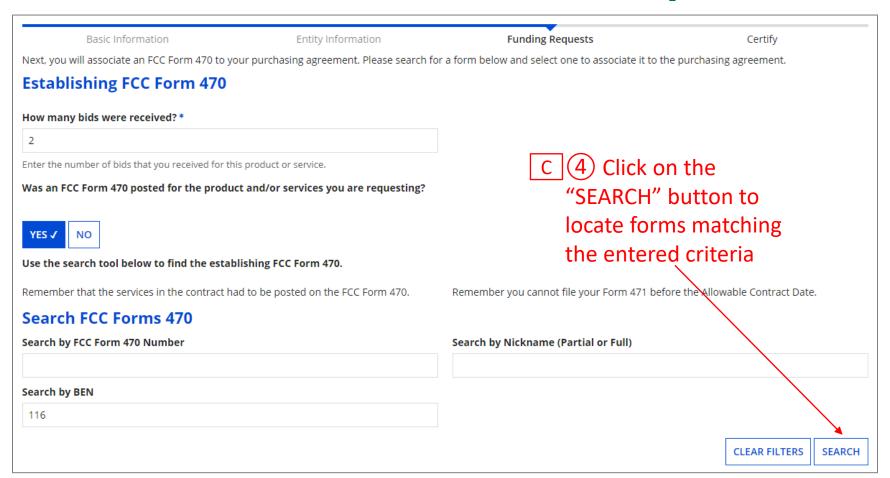
C 1 If you chose "Month-to-Month", enter the number of bids received

Basic Information	Entity Information	Funding Requests	Certify
Next, you will associate an FCC Form 470 to yo	ur purchasing agreement. Please search for	a form below and select one to associate it to th	e purchasing agreement.
Establishing FCC Form 470			
How many bids were received? *			
Enter the number of bids that you received for this	s product or service.		
Was an FCC Form 470 posted for the produc	t and/or services you are requesting?		
YES NO			
FCC Form 471 Help			
Show Help			
BACK CANCEL			CONTINUE









C 3 Locate the Form 470 by entering a value in any of the search boxes

**NOTE:** By default, the BEN for the organization is listed. If you know neither the Form 470 Number nor the Form 470 Nickname, you can simply search by BEN.

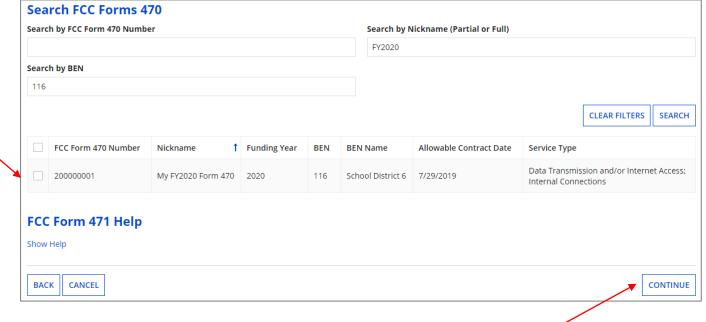


Basic Information	Entity Information	Funding Doguests	Certify
Next, you will associate an FCC Form 470 to your pur		Funding Requests	
	chasing agreement. Flease search	of a form below and select one to associate it to the	e purchasing agreement.
Establishing FCC Form 470			
How many bids were received?*			
2			
Enter the number of bids that you received for this produ	uct or service.		
Was an FCC Form 470 posted for the product and	or services you are requesting?		
YES ✓ NO  Use the search tool below to find the establishing	; FCC Form 470.		
Remember that the services in the contract had to be	e posted on the FCC Form 470.	Remember you cannot file your Form 471 befo	ore the Allowable Contract Date.
Search FCC Forms 470			
Search by FCC Form 470 Number		Search by Nickname (Partial or Full)	
Search by BEN			
116			
			CLEAR FILTERS SEARCH

If your search terms are unsuccessful, click on the "CLEAR FILTERS" button to remove / text entered into the search boxes and then try another search



#### Results matching the search criteria you used will appear in a list

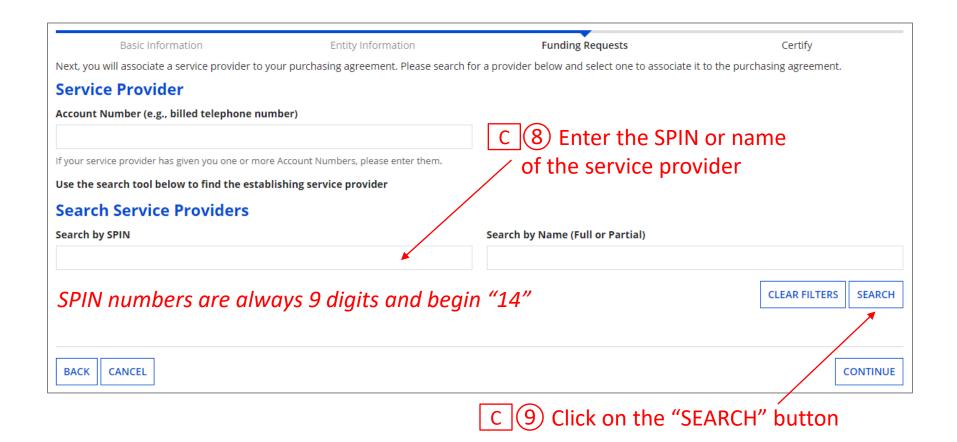


C 5 Click the check box for the establishing Form 470 that resulted in this particular signed contract

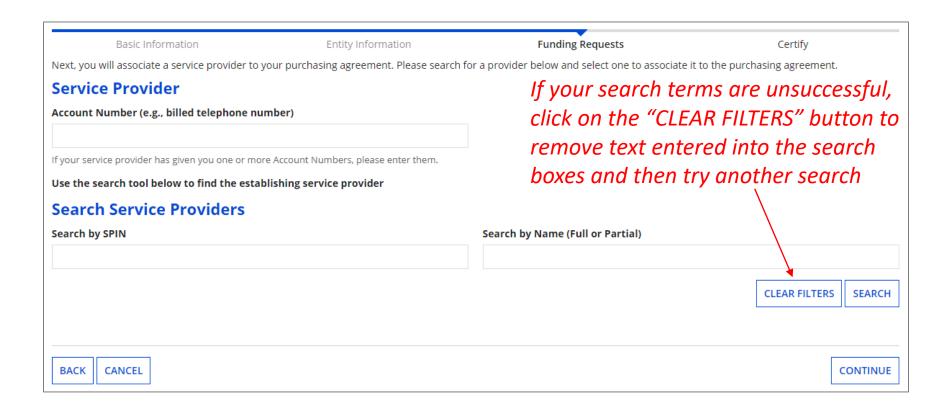
C 6 Then click on the "CONTINUE" button to proceed

Basic Information	Entity Information	Funding Requests	Certify
Next, you will associate a service provider to your pur	chasing agreement. Please search fo	or a provider below and select one to associate it to	the purchasing agreement.
Service Provider  Account Number (e.g., billed telephone number)  If your service provider has given you one or more Account Use the search tool below to find the establishing Search Service Providers	·	C 7 Enter the ac number if you h one (not requir	nave
Search by SPIN		Search by Name (Full or Partial)	
		,	
			CLEAR FILTERS SEARCH
BACK CANCEL			CONTINUE











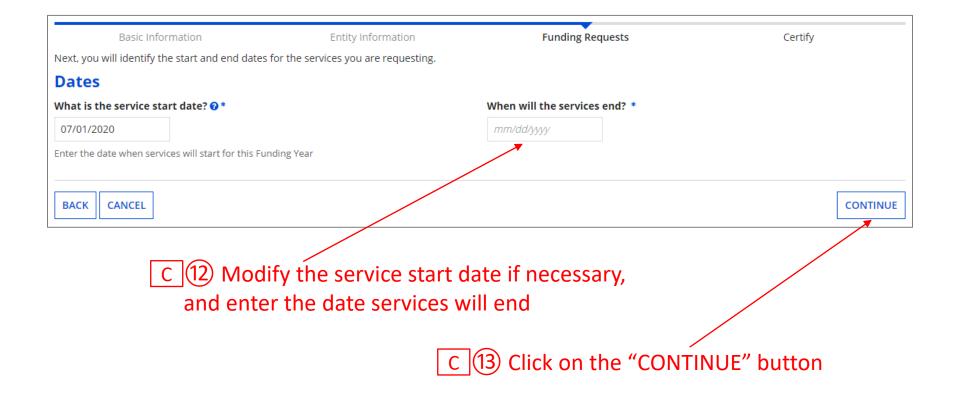
#### Results matching the search criteria you used will appear in a list

vt. vou will associate a sonice pro	vider to your purchasing agreement. Please search	for a provider below and s	alast and to associate it to the	nurchasing agreement
	vider to your purchasing agreement. Flease search	Tot a provider below and s	elect offe to associate it to the	: purchasing agreement.
ervice Provider				
count Number (e.g., billed telep	none number)			
our service provider has given you o	ne or more Account Numbers, please enter them.			
e the search tool below to find	the establishing service provider			
earch Service Provid	ers			
arch by SPIN		Search by Name (Full or Partial)		
		usac		
				CLEAR FILTERS SEARC
SPIN Na	ime		Doing Business As	State
14010001 US	AC Service Provider Organization 1			VA
14010002 US	AC Service Provider Organization 2			VA
14010003 US	AC Service Provider Organization 3			VA

c 10 Click the check box for the appropriate service provider

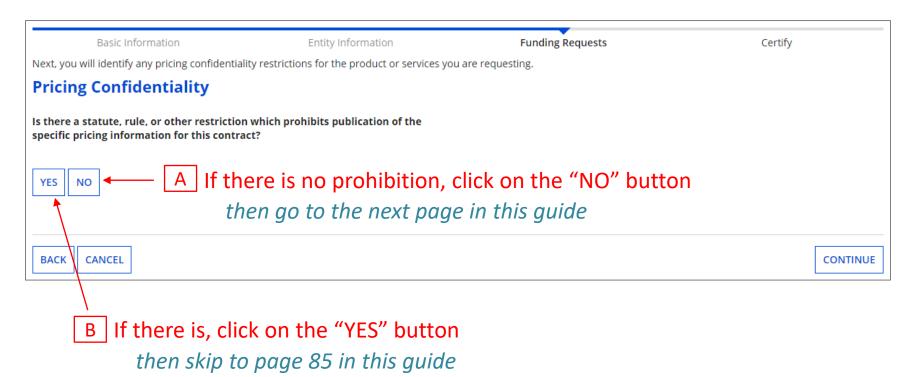
C 11 Click on the "CONTINUE" button





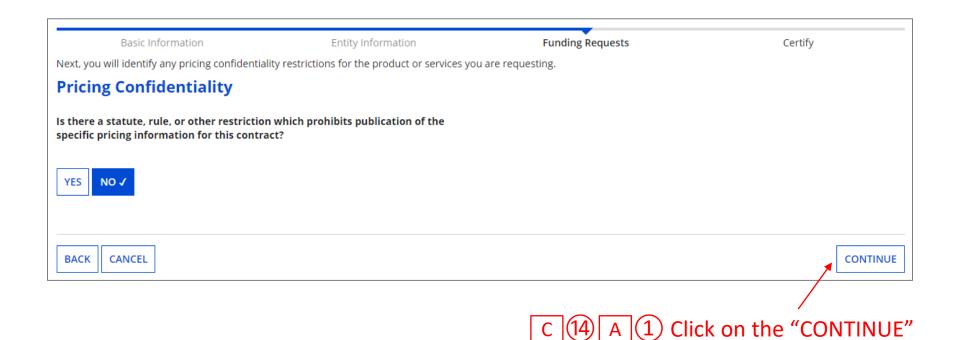


C 14 Determine if there is a statute, rule, or other restriction that prohibits pricing transparency



Words of Caution: There must be an actual statute in place. It is not sufficient for the vendor to simply state that their pricing is confidential. When in doubt, click on "No"!

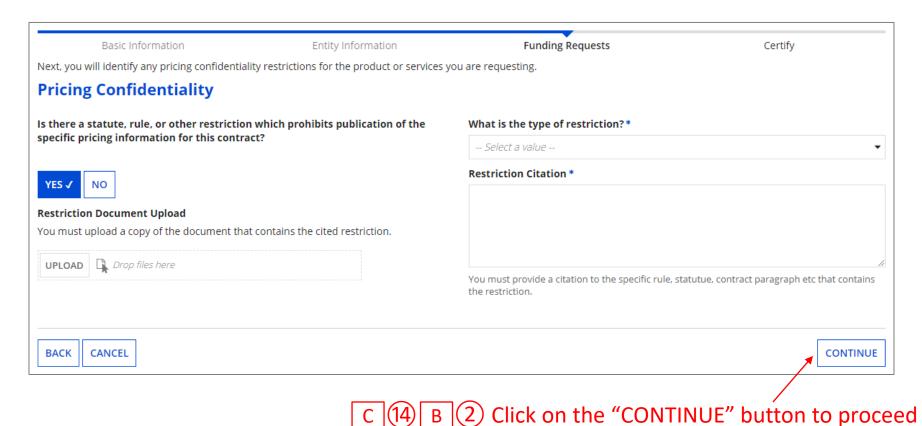






button to proceed

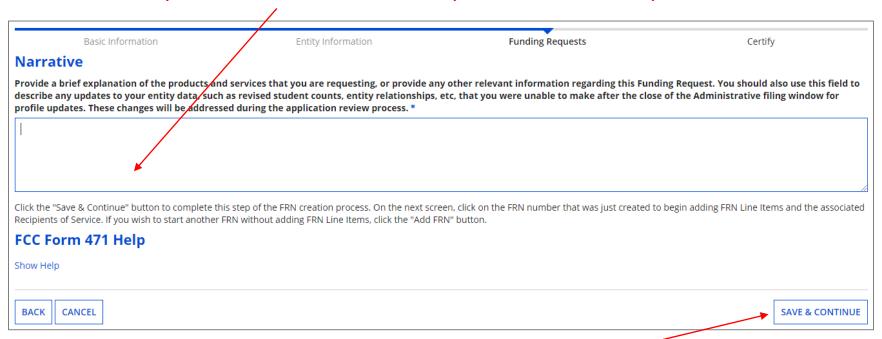
C 4 B 1 Provide the type of restriction and the citation, and upload a file that documents the restriction





#### [Narrative]

9 Provide a description of the service you are requesting and any other information you think USAC would find important such as EPC profile issues

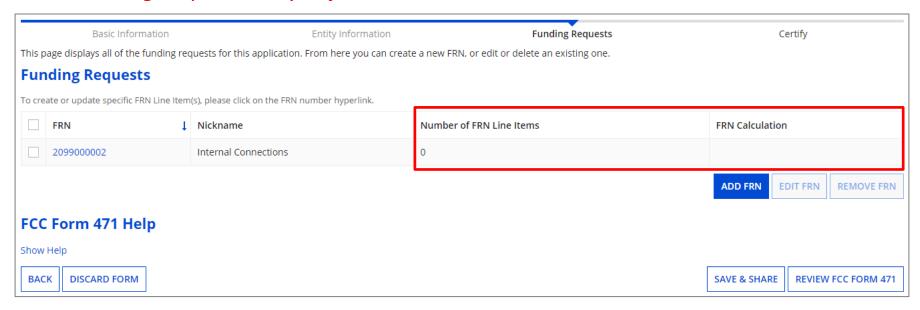


10 Then click on the "SAVE & CONTINUE" button to proceed



# **Funding Requests**

#### The Funding Request that you just created will be listed in a table



Note that information is missing for the FRN – there are no line items or calculations
That missing information will be completed in the next step, "Managing FRN Line Items"

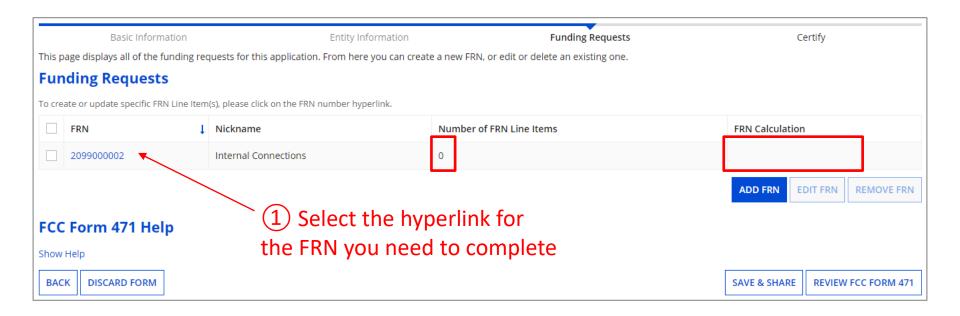


# Managing FRN Line Items

You will need to enter the products and services being requested on separate FRN line items.



An FRN is incomplete until line items have been added to identify each specific product and service being requested and its associated costs.



**Words of Caution:** Don't be lured by the "ADD FRN" button. You are finishing an existing FRN here, not adding another FRN.



The Nickname you entered for this FRN will appear at the top of the form along with the FRN Number

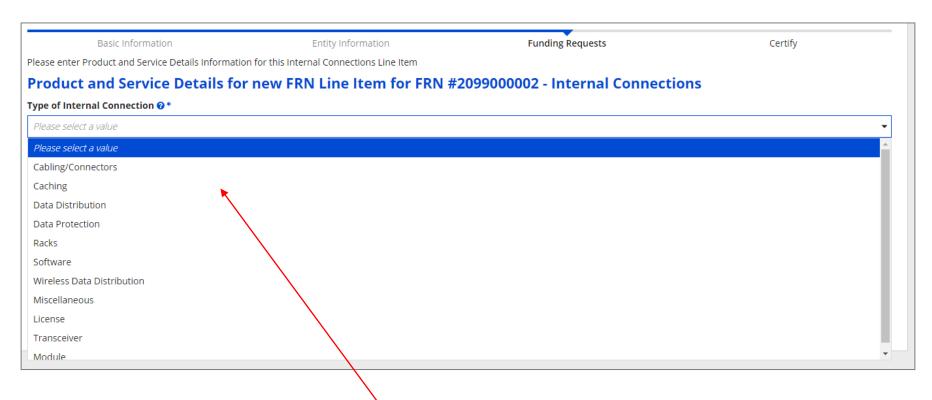
	Entity Info request line items for this FRN. Click on a N #2099000002 - Interna	line item number below to		ing Requests and their recipients of service.	Certify	
FRN Line Item Number	Type of Internal Connection	Type of Product	Quantity	One-time Quantity	Total Eligible FRN Line Item	ı Cost
You haven't created any FRN Line Items yet. Click the 'Add New FRN Line Item' button to start creating your first FRN Line Item.						
FCC Form 471 Help Show Help				ADD NEW FRN LINE ITEM	REMOVE FRN LINE ITEM	BULK UPLOAD
BACK DISCARD FORM					SAVE & SHAR	CONTINUE

2 Click on the "ADD NEW FRN LINE ITEM" button

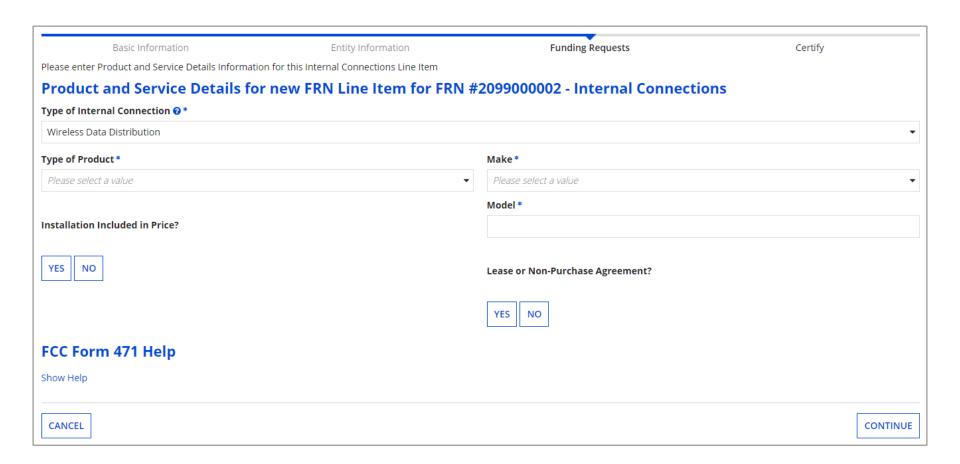
#### **Guidelines:**

- For each product or service sought, use a separate line item to describe the products or services
- If you have several of the same product or service but they are delivered at different speeds or for different costs, use a separate line item for each





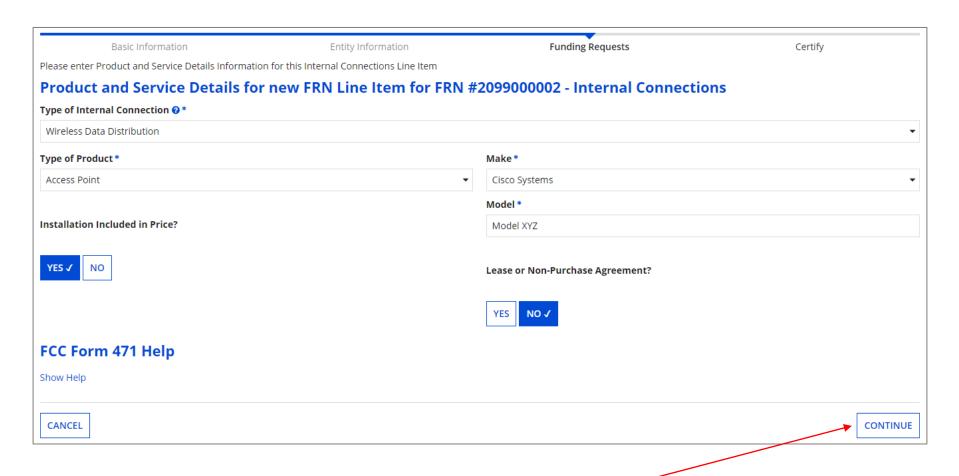
3 Select the value that best describes the type of product for this FRN Line Item. The options will vary depending on the type of service you indicated earlier in the form.



4 Complete the remaining questions

**NOTE:** Different options will display depending on the value chosen in the previous step

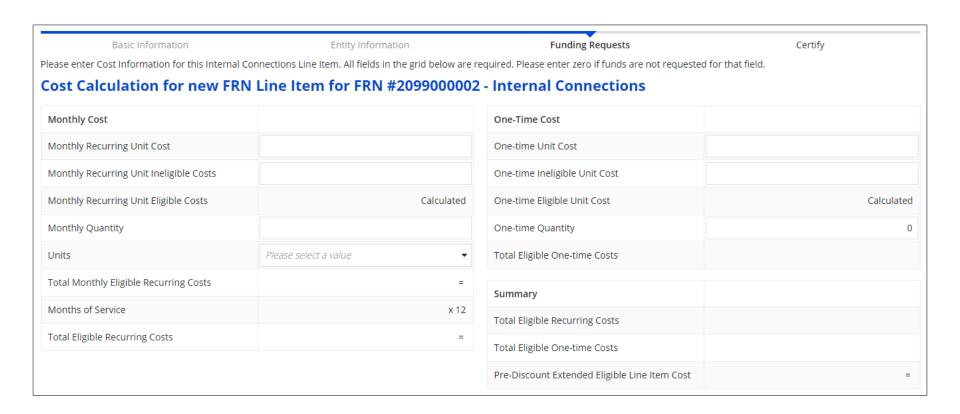




(5) Click on the "CONTINUE" button to proceed



#### [FRN Line Item: Costs]



6 Enter Cost Calculations for this FRN line item (see the next slide for more information)

**NOTE:** All fields must be completed. Enter zeroes in fields for which you have no requested costs.



#### **Fields**

**Monthly Recurring Unit Cost:** Enter the total monthly cost for this service for each connection. If the cost of service fluctuates from month to month, use the average of past bills to estimate the monthly cost. Include all eligible taxes and fees.

**Monthly Recurring Unit Ineligible Costs:** Enter the total cost associated with ANY ineligible services, entities, or uses included in your monthly charges.

Monthly Quantity: The number of connections that have this total recurring cost.

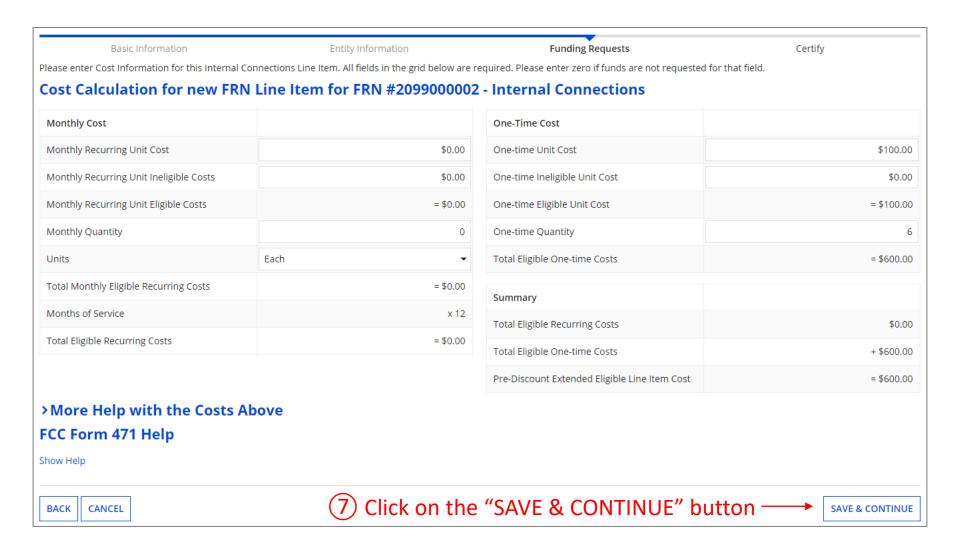
**One-time Unit Cost:** Enter one-time or non-recurring costs for the product or service. For example, installation charges for eligible services and equipment are eligible.

**One-time Unit Ineligible Cost:** Enter the total cost associated with ANY ineligible services, entities, or uses included in your one-time charges.

One-time Quantity: The number of connections that have this total one-time cost.



#### [FRN Line Item: Costs]





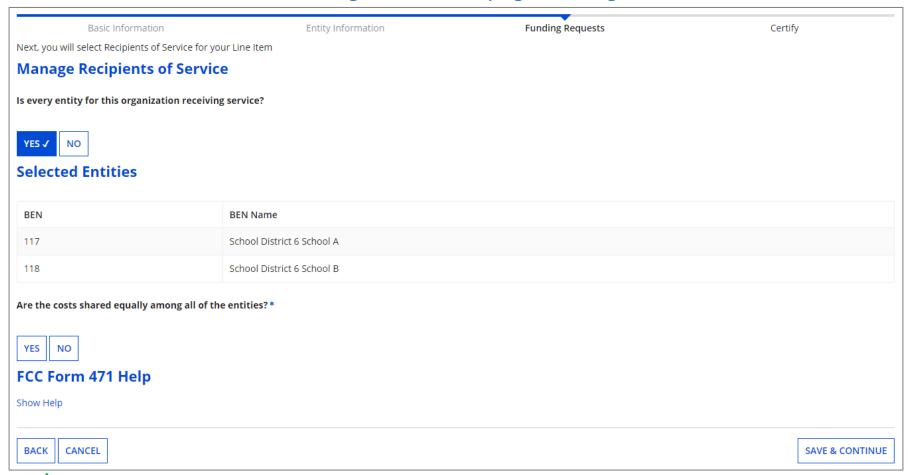
# Managing Recipients and Costs

For every line item created for each FRN, you must identify the entities receiving that particular service and allocate the costs.

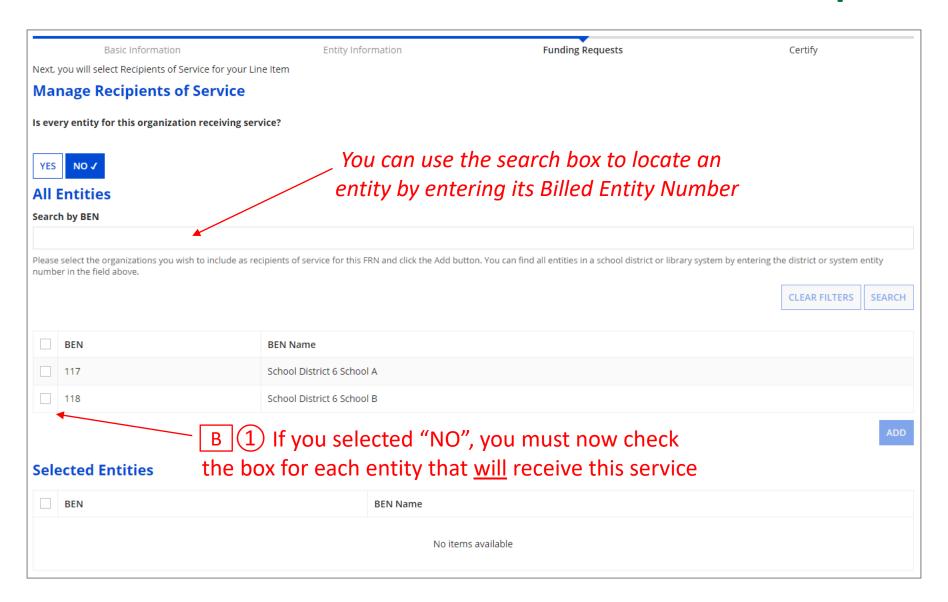


1 Determine if every entity (schools and NIFs) will be receiving this particular service

- A If <u>every</u> entity will receive the service, choose the "YES" button (default option) then skip to page 102 in this guide
- B If only some entities will receive the service, click on the "NO" button then go to the next page in this guide









Basic Information  Next, you will select Recipients of Service for your Lin	Entity Information	Funding Requests	Certify	
Manage Recipients of Service	e icen			
Is every entity for this organization receiving serv	rice?			
YES NO ✓				
All Entities				
Search by BEN				
Please select the organizations you wish to include as reconumber in the field above.	ipients of service for this FRN and click the Add but	ton. You can find all entities in a school district or library sy	ystem by entering the district or system entity  CLEAR FILTERS  SEARCH	
BEN	BEN Name			
<b>✓</b> 117	School District 6 School A			
<u> </u>	School District 6 School B			
B 2 Then click on the "ADD" button				
BEN	BEN Name			
No items available				

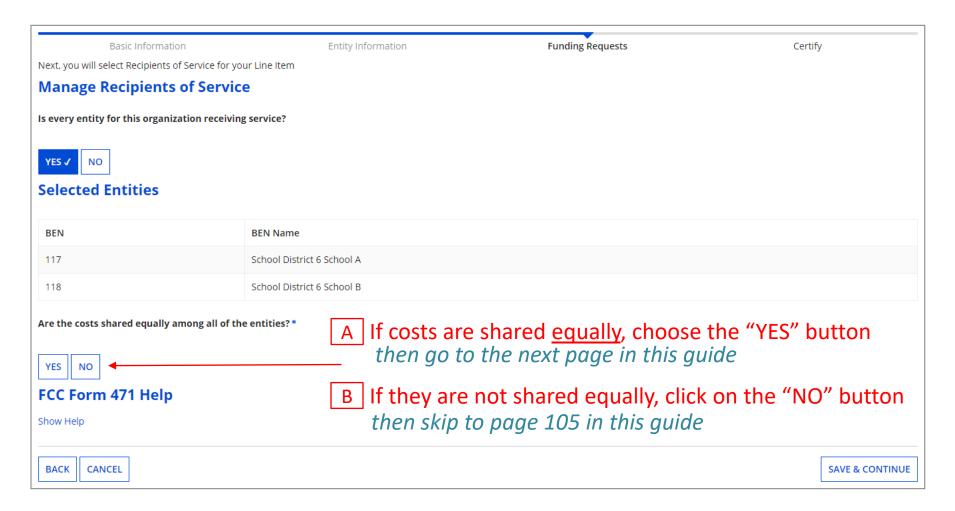


Basic Information	Entity Information	Funding Requests	Certify				
Next, you will select Recipients of Service for you							
Manage Recipients of Service							
Is every entity for this organization receiving	service?						
YES NO 🗸							
All Entities							
Search by BEN							
Please select the organizations you wish to include a number in the field above.	s recipients of service for this FRN and click	the Add button. You can find all entities in a school district or library system	by entering the district or system entity				
			CLEAR FILTERS SEARCH				
BEN	BEN Name						
117	School District 6 School A						
<u> </u>	School District 6 School B						
			ADD				
Selected Entities Selected	d entities will be i	listed here					
BEN	BEN Name						
117	School District 6 School A						
		If you need to remove an	REMOVE				
Are the costs shared equally among all of the entities? *		entity, select it and then click					
		on the "REMOVE" button					
YES NO		on the Newton Button					

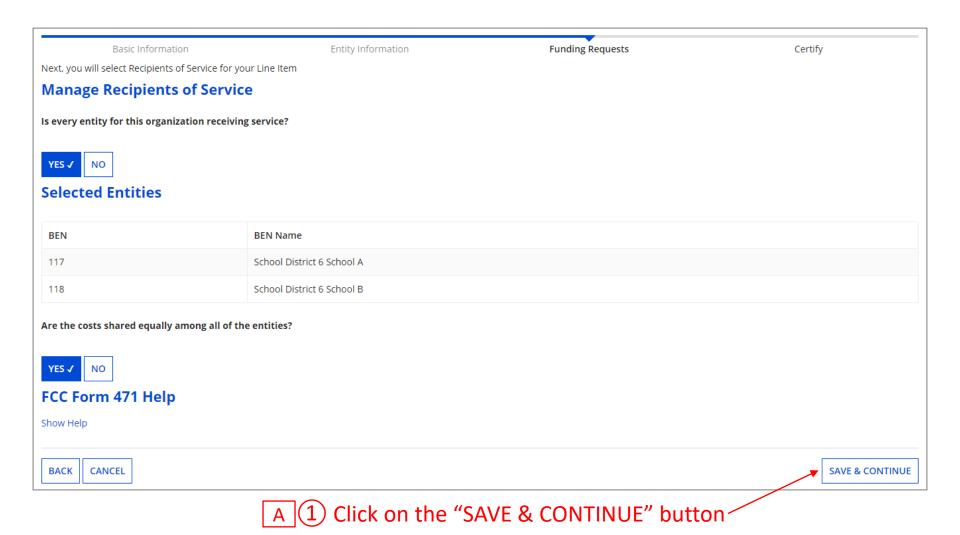


go to the next page in this guide

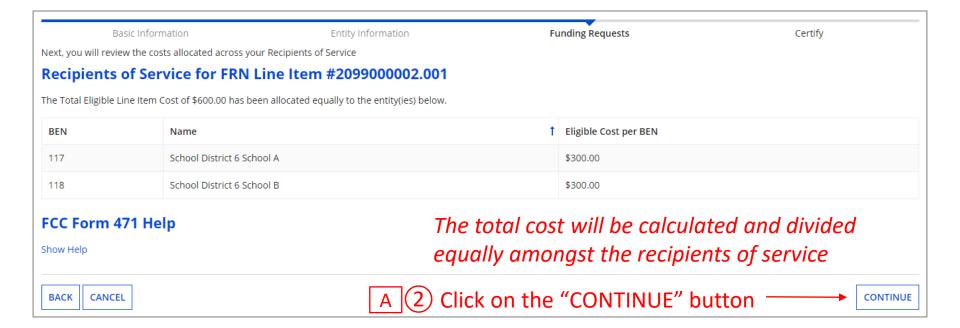
#### 2 Determine if costs are shared equally between the recipients of service



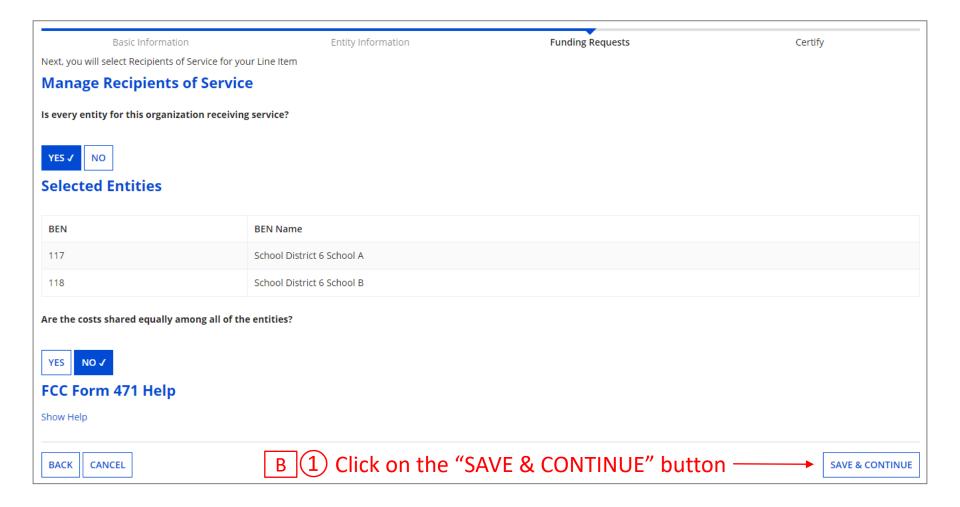






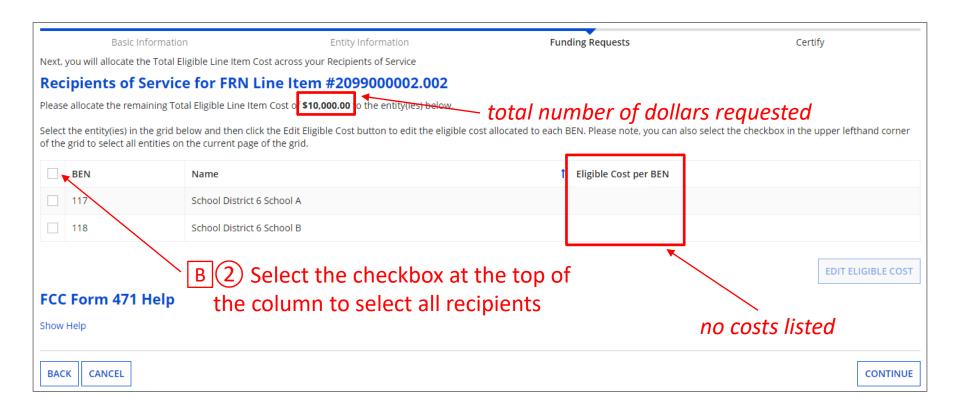




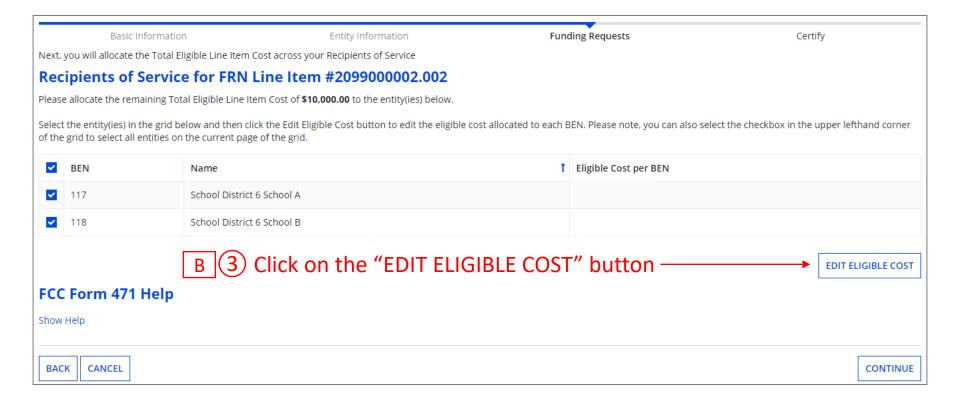




#### You will need to allocate the appropriate costs for each recipient of service









Basic Information	Entity Information	Funding Requests	Certify
Next, you will edit the eligible cost allocated to the recipien	t(s) below		
If two or more entities are sharing telecommunications line	e(s), you can allocate the single line equally	among the entities. For example, if two entities share	a single circuit, indicate 0.5 line per entity.
Edit Eligible Cost Allocated to the R	<b>—</b>	total amount of costs re	maining un-allocated
1. School District 6 School A (BEN: 117)			
2. School District 6 School B (BEN: 118)		B 4 Enter the alloc	cated cost in
		dollars and cents	for each entity
FCC Form 471 Help			
Show Help			
CANCEL			SAVE & CONTINUE



## Recipients

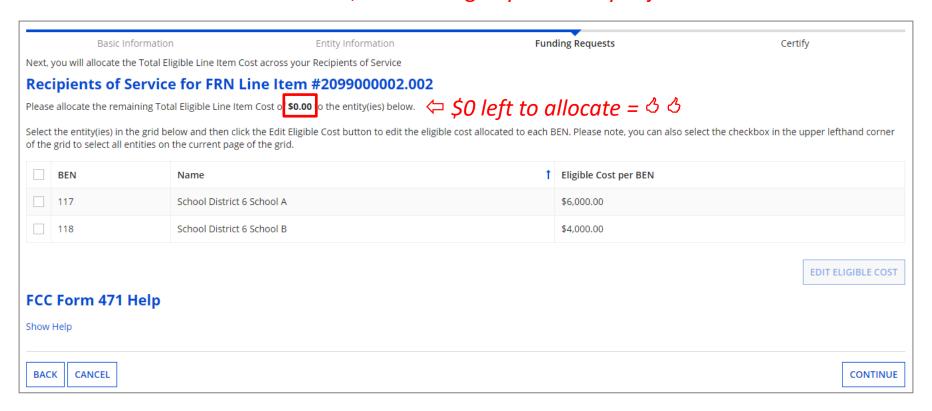
Basic Information	Entity Information	Funding Requests	Certify
Next, you will edit the eligible cost allocat	ed to the recipient(s) below		
If two or more entities are sharing telecor	mmunications line(s), you can allocate the single line equally	among the entities. For example, if two entities share	a single circuit, indicate 0.5 line per entity.
Edit Eligible Cost Allocate	ed to the Recipient(s)		
Please allocate the remaining Total Eligible	e Line Item Cost of <b>\$10,000.00</b> to the entity(ies) below.		
1. School District 6 School A (BEN: 117)			
\$6,000.00			
2. School District 6 School B (BEN: 118)			
\$4,000.00			
FCC Form 471 Help			
Show Help			
CANCEL	5 Click on the "SAVE & C	CONTINUE" button ——	SAVE & CONTINUE



## Recipients

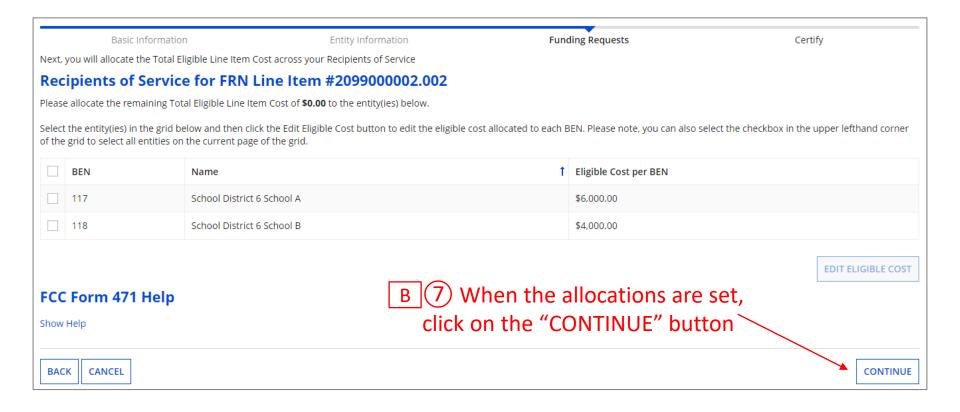
B 6 Verify that the entire cost of the Line Item has been allocated and that \$0.00 remains to be distributed amongst the recipients

Fix any problems by selecting the check box at the top again, clicking on the "EDIT ELIGIBLE COST" button, and making any necessary adjustments





## Recipients





# Wrapping Up the FRN



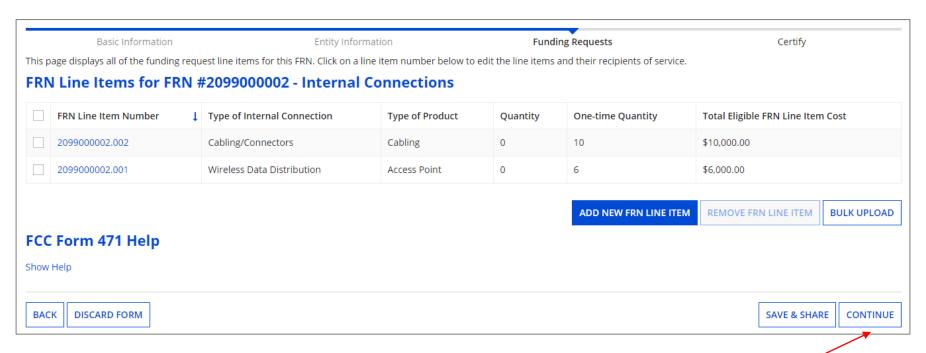
## Wrapping Up

#### Each Line Item for this FRN will display in a table

To create another Line Item, click on the "ADD NEW FRN LINE ITEM" button

To edit an existing Line Item, click on the link for it

To delete an existing Line Item, click the check box for it and click on the "RFMOVF FRN LINF ITFM"



1 Click on the "CONTINUE" button when your Line Items for this FRN are complete



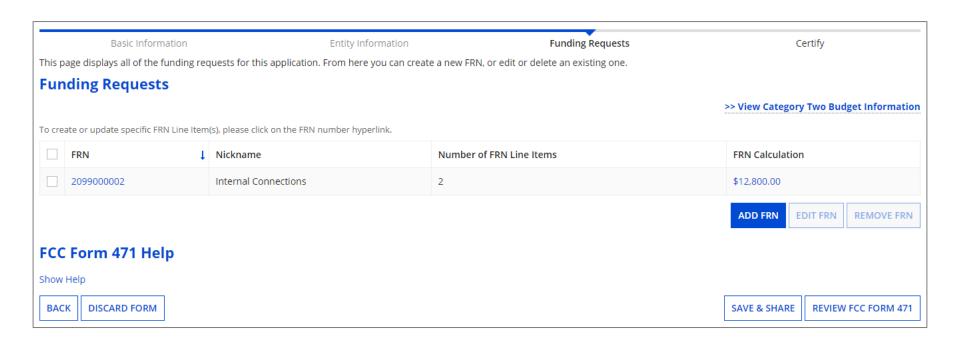
## Wrapping Up

#### Each FRN for this form will display in a table

To create another FRN, click on the "ADD FRN" button

To work with an existing FRN, click the check box for it and ...

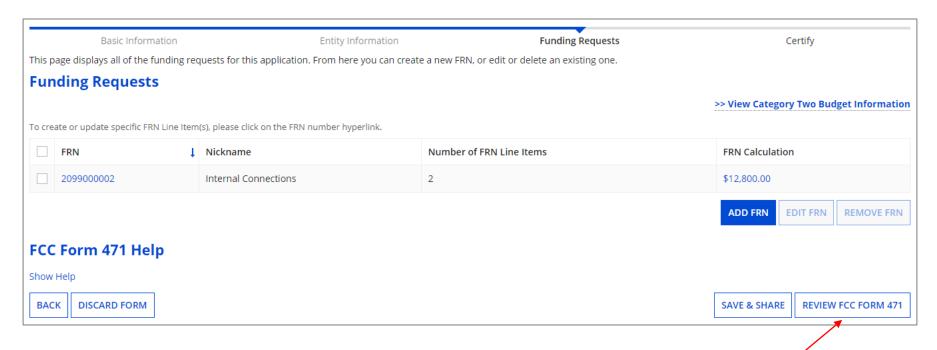
- Click on the "EDIT FRN" button to edit the FRN
- Click on the "REMOVE FRN" button to delete the FRN





Before certifying the form you will have the opportunity to review the form in its entirety.

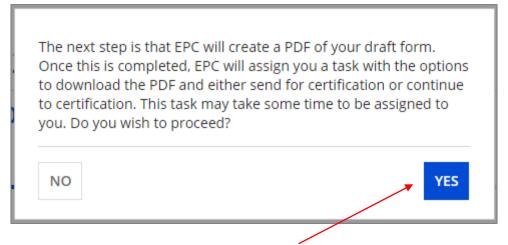




1 Click on the "REVIEW FCC FORM 471" button when your FRNs for this form are complete



#### A warning message will display

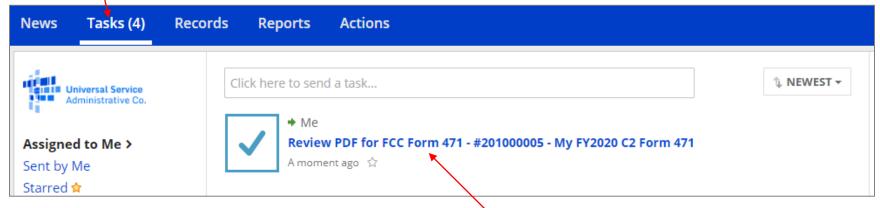


2 Click on the "YES" button to proceed



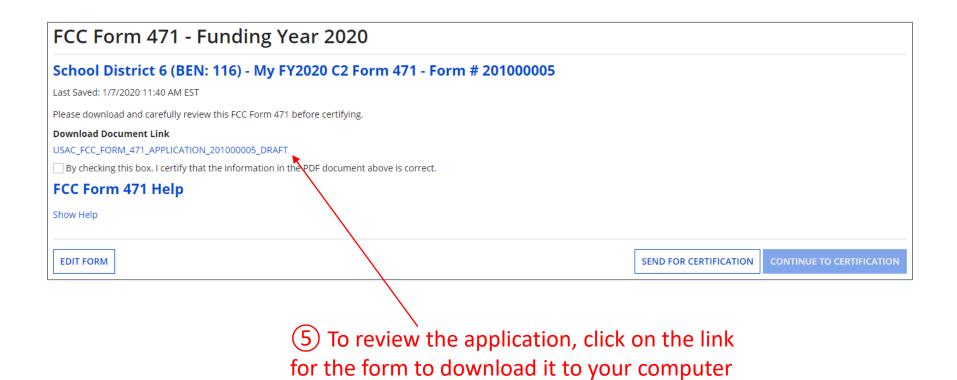
3 Click on the "Tasks" link in the blue bar to view/refresh the list of tasks

NOTE: It may take several minutes (and several refreshes)
for the task to appear



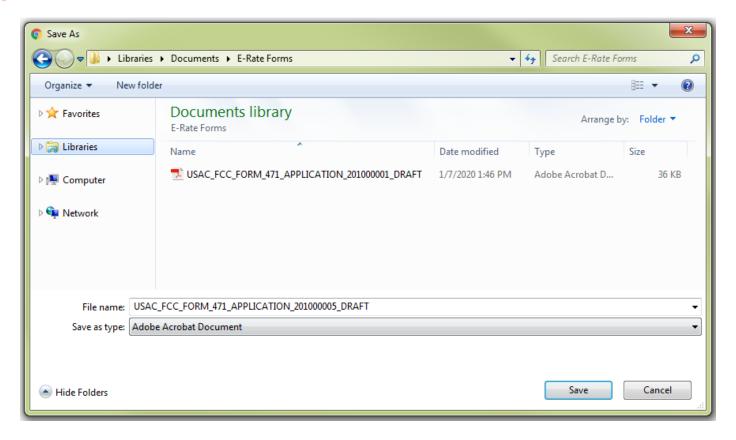
4) Click on the link for the task to review the form







6 Save the PDF to your computer





#### 7 Open the PDF to view and review the Form 471 for accuracy

OMB 3060-0806 FCC Form 471 Approval by OMB December 2018



Description of Services Ordered and Certification DRAFT Form 471

#### FCC Form 471

#### Application Information

 Nickname
 My FY2020 C2 Form 471
 Application Number
 201000005

 Funding Year
 2020
 Category of Service
 Category 2

#### Billed Entity

School District 6

100 Main Street Springfield ME 04487

555-555-7878

Information

school.district6.user1@mailinator.com

Billed Entity Number 116
FCC Registration Number 1234564560
Applicant Type School District

Holiday/Summer Contact

Contact John Doe at johndoe@schooldistrict6.org during July and August Jane Smith (janesmith@schooldistrict6.org / 207-555-5555) serves as an alternate contact person for

school.district6.user1@mailinator.com

**Contact Information** 

School District 6 User 1

555-555-5555

this form

#### **Consulting Firms**

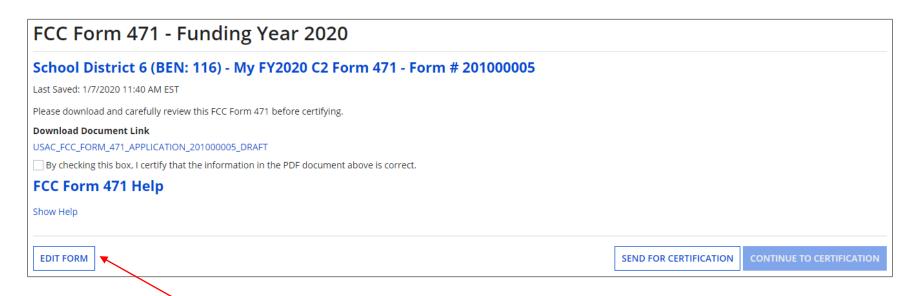
Name	Consultant Registration Number	City	State	Zip Code	Phone Number	Email
USAC Consulting Firm 1	15010001	Reston	VA	20171	111-111-1111	

#### **Entity Information**

#### School District Entity - Details

BEN	Name	Urban/ Rural	State LEA ID	State School ID	NCES Code	School District Attributes	Endowment
116	School District 6	Rural				Public School District	None





8 Back in EPC, click on the "EDIT FORM" button to make any needed changes to the form. The form will open at the "Basic Information" page. Continue through the form steps to make any necessary changes.



# Certifying the Form

Finally, a user in your organization will certify and submit the application.



## **Certifying the Form**

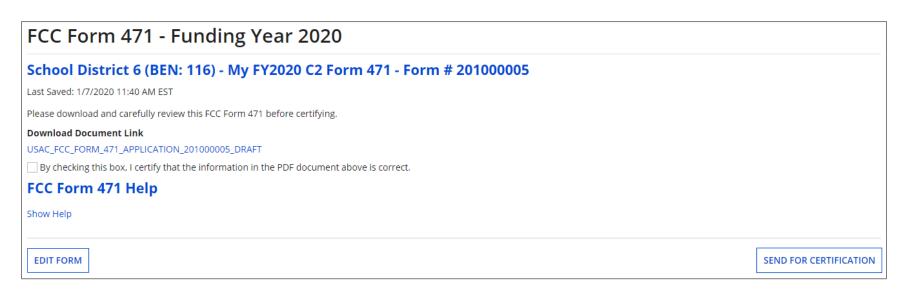
Certification of the form takes place in different manners depending on the rights assigned to users for your organization and who in your organization is responsible for certifying the form.

- A If you are a partial rights user  $\rightarrow$  go to the next page in this guide
- B If you are a full rights user but not the person who will certify the form  $\rightarrow$  skip to page 126
- C If you are a full rights user who is responsible for certifying the form  $\rightarrow$  skip to page 127
- D If you are a full rights user certifying a form sent to you by another user  $\rightarrow$  skip to page 132
- $oxed{\mathsf{E}}$  If you are a user who was sent a form that needs revision o skip to page 142

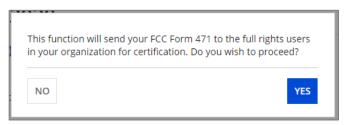


#### [Partial Rights]

#### A If you are a partial rights user:



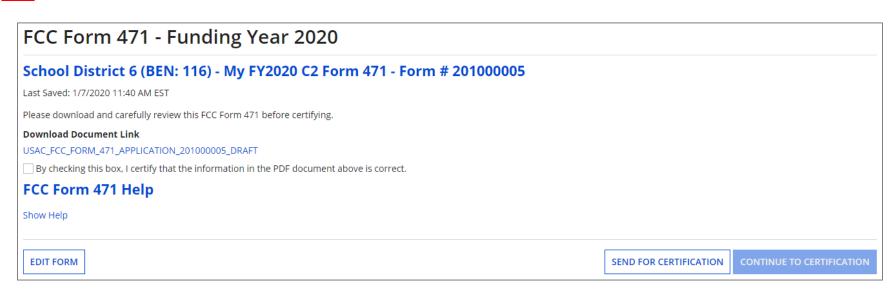
- A (1) Click on the "SEND FOR CERTIFICATION" button
- A 2 You will see a notice that your form will be sent to the full rights users in your organization
- A 3 Click on the "YES" button to proceed
- A 4 You will be returned to the Landing Page



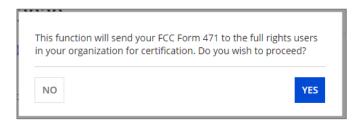


## [Full Rights / Not the Certifier]

B If you are a full rights user but not the person who will certify the form:



- B 1 Click on the "SEND FOR CERTIFICATION" button
- B 2 You will see a notice that your form will be sent to the full rights users in your organization
- B 3 Click on the "YES" button to proceed
- B 4 You will be returned to the Landing Page



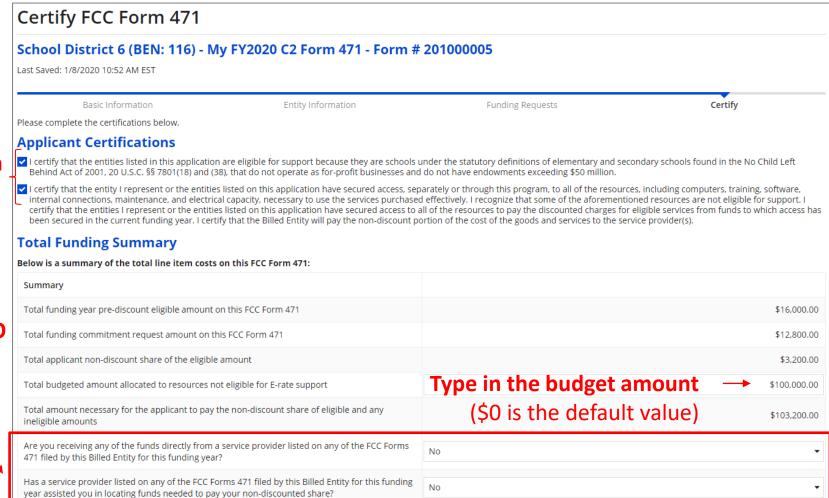


C If you are a full rights user who is responsible for certifying the form:



- C 1 First check the check box to certify that the information is correct
- © 2 Then click the "CONTINUE TO CERTIFICATION" button to proceed

- C 3 You will see the "Certifications" page
- C 4 Read the certification text carefully and select the appropriate certifications



# Check both of these

CHANGE
THESE TWO
ITEMS TO
"NO" III



# Check all of these boxes

- I certify an FCC Form 470 was posted and that any related RFP was made available for at least 28 days before considering all bids received and selecting a service provider. I certify that all bids submitted were carefully considered and the most cost-effective service offering was selected, with price being the primary factor considered, and is the most cost-effective means of meeting educational needs and technology goals.
- ✓ I certify that the entity responsible for selecting the service provider(s) has reviewed all applicable FCC, state, and local procurement/competitive bidding requirements and that the entity or entities listed on this application have complied with them.
- ✓ I certify that the services the applicant purchases at discounts provided by 47 U.S.C. § 254 will be used primarily for educational purposes, see 47 C.F.R. § 54.500 and will not be sold, resold or transferred in consideration for money or any other thing of value, except as permitted by the Commission's rules at 47 C.F.R. § 54.513. Additionally, I certify that the entity or entities listed on this application have not received anything of value or a promise of anything of value, as prohibited by the Commission's rules at 47 C.F.R. § 54.503(d), other than services and equipment sought by means of this form, from the service provider, or any representative or agent thereof or any consultant in connection with this request for services.
- ✓ I certify that I and the entity(ies) I represent have complied with all program rules and I acknowledge that failure to do so may result in denial of discount funding and/or cancellation of funding commitments. There are signed contracts or other legally binding agreements covering all of the services listed on this FCC Form 471 except for those services provided under non-contracted tariffed or month-to-month arrangements. I acknowledge that failure to comply with program rules could result in civil or criminal prosecution by the appropriate law enforcement authorities.
- ✓ I acknowledge that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the service, receive an appropriate share of benefits from those services.
- ✓ I certify that I will retain required documents for a period of at least 10 years (or whatever retention period is required by the rules in effect at the time of this certification) after the later of the last day of the applicable funding year or the service delivery deadline for the associated funding request. I acknowledge that I may be audited pursuant to participation in the schools and libraries program. I certify that I will retain all documents necessary to demonstrate compliance with the statute and Commission rules regarding the application for, receipt of, and delivery of services receiving schools and libraries discounts, and that if audited, I will make such records available to USAC.
- ✓ I certify that I am authorized to order telecommunications and other supported services for the eligible entity(ies) listed on this application. I certify that I am authorized to submit this request on behalf of the eligible entity(ies) listed on this application, that I have examined this request, that all of the information on this form is true and correct to the best of my knowledge, that the entities that are receiving discounts pursuant to this application have complied with the terms, conditions and purposes of the program, that no kickbacks were paid to anyone and that false statements on this form can be punished by fine or forfeiture under the Communications Act, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001 and civil violations of the False Claims Act.
- ✓ I acknowledge that FCC rules provide that persons who have been convicted of criminal violations or held civilly liable for certain acts arising from their participation in the schools and libraries support mechanism are subject to suspension and debarment from the program. I will institute reasonable measures to be informed, and will notify USAC should I be informed or become aware that I or any of the entities listed on this application, or any person associated in any way with my entity and/or the entities listed on this application, is convicted of a criminal violation or held civilly liable for acts arising from their participation in the schools and libraries support mechanism.
- ☑ I certify that if any of the Funding Requests on this FCC Form 471 are for discounts for products or services that contain both eligible and ineligible components, that I have allocated the eligible and ineligible components as required by the Commission's rules at 47 C.F.R. § 54.504.
- ✓ I certify that the non-discount portion of the costs for eligible services will not be paid by the service provider. The pre-discount costs of eligible services featured on this FCC Form 471 are net of any rebates or discounts offered by the service provider. I acknowledge that, for the purpose of this rule, the provision, by the provider of a supported service, of free services or products unrelated to the supported service or product will be considered a rebate of some or all of the cost of the supported services.

#### FCC Form 471 Help

Show Help

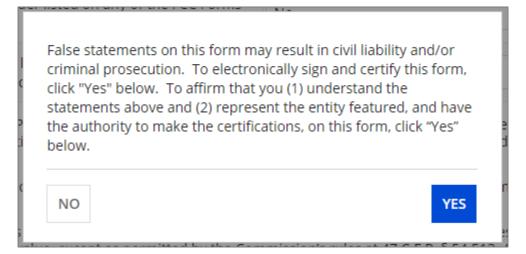
C S Click the "CERTIFY" button to certify the form --this is the equivalent to providing your electronic signature





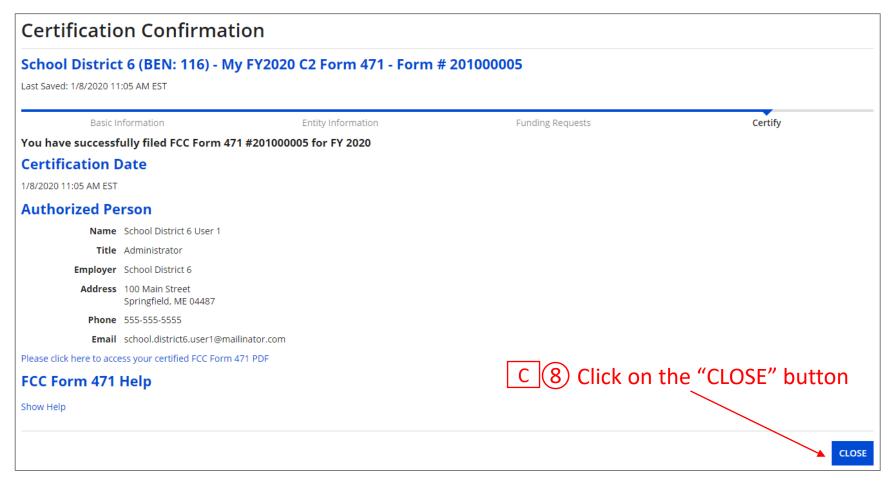


C 6 Read the message regarding the certification and click "YES" if you agree



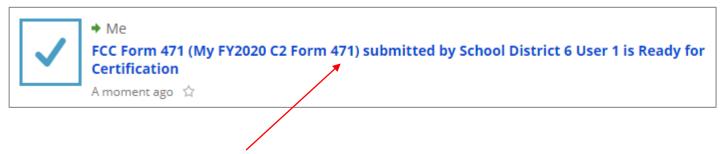


C 7 Once you click "YES" in the confirmation message, the form will be certified. Information about the certifier will display.



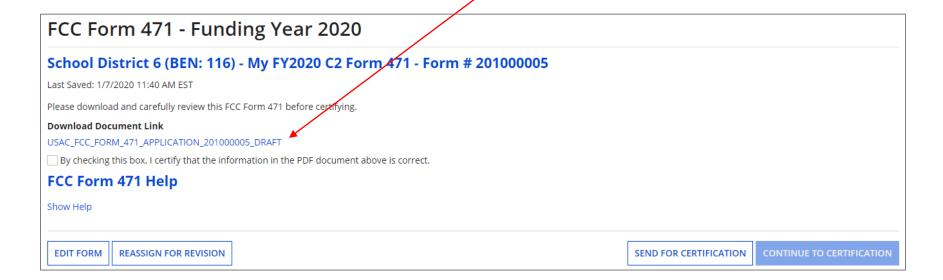


- D If you are a full rights user who is certifying a form sent to you by another user:
  - D 1 Once a user has sent you a Form 471 to certify, you will receive a task in your task list



D 2 Click on the task to continue

D 3 To review the application, click on the link for the form to download it to your computer





#### D 4 Open the PDF to view and review the Form 471

 OMB 3060-0806
 Approval by OMB

 FCC Form 471
 December 2018



Description of Services Ordered and Certification DRAFT Form 471

#### FCC Form 471

#### Application Information

 Nickname
 My FY2020 C2 Form 471
 Application Number
 201000005

 Funding Year
 2020
 Category of Service
 Category 2

#### **Billed Entity**

School District 6

100 Main Street Springfield ME 04487

555-555-7878

Information

school.district6.user1@mailinator.com

Billed Entity Number 116
FCC Registration Number 1234564560
Applicant Type School District

Holiday/Summer Contact

Contact John Doe at johndoe@schooldistrict6.org during July and August Jane Smith (janesmith@schooldistrict6.org / 207-555-5555) serves as an alternate contact person for

school.district6.user1@mailinator.com

**Contact Information** 

School District 6 User 1

555-555-5555

this form

#### **Consulting Firms**

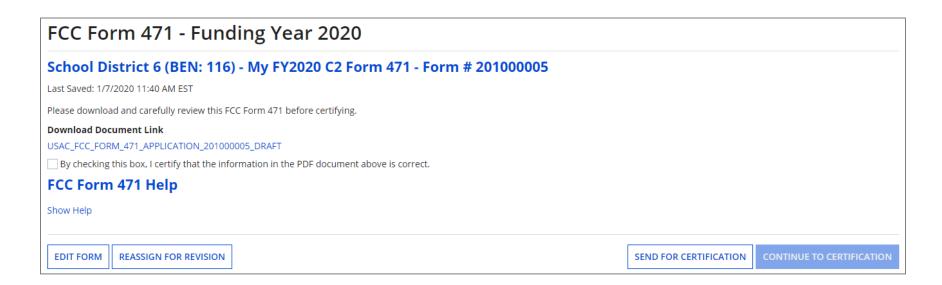
Name	Consultant Registration Number	City	State	Zip Code	Phone Number	Email
USAC Consulting Firm 1	15010001	Reston	VA	20171	111-111-1111	

#### **Entity Information**

#### School District Entity - Details

BEN	Name		State LEA ID	State School ID	NCES Code	School District Attributes	Endowment
116	School District 6	Rural				Public School District	None







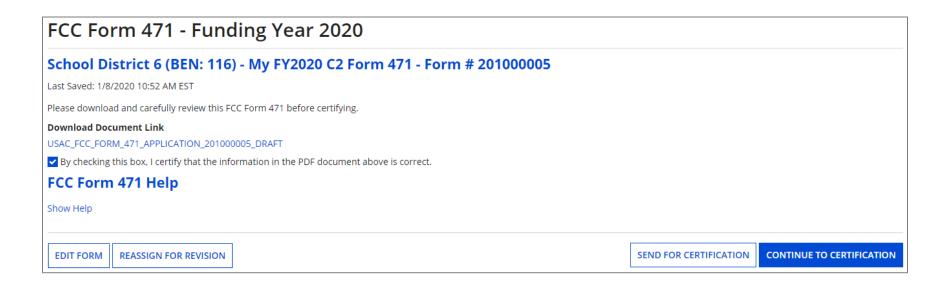
- Select the "EDIT FORM" button to make any needed changes to the form. The form will open at the "Basic Information" page. Continue through the form steps to make any necessary changes. then skip to page 137 in this guide
  - or
- Select the "REASSIGN FOR REVISION" button to reassign the form to another user to make changes. then go to the next page in this guide



If reassigning the form to be revised by someone else, the following screen will display

FCC Form 471 - Funding Year 2020					
School District 6 (BEN: 116) - My FY2020 C2 Form 471 - Form # 201000005					
Last Saved: 1/7/2020 11:40 AM EST					
Please select an assignment for this revision.	D (5) B (1)				
Original Form Owner School District 6 User 1	Choose the type of user or individual to				
Assignee(s) for Revision *  All users with partial rights to this form	assign the form to for revision, provide				
All users with full rights to this form					
All users with partial rights or full rights to this form	any instructions such as the items to				
Select an individual recipient	revise, and then click on the "REASSIGN				
Instructions for Revision	FOR REVISION" button				
То:	FOR REVISION DULLOII				
Please describe the revisions that are required for this FCC Form 471.					
FCC Form 471 Help					
Show Help					
BACK	REASSIGN FOR REVISION				





- D 6 If you are the certifier and the form is acceptable, check the check box to certify that the information is correct
- D 7 Then choose the "CONTINUE TO CERTIFICATION" button to certify the form

- (8) You will see the "Certifications" page
- Read the certification text carefully and select the appropriate certifications

#### Certify FCC Form 471 School District 6 (BEN: 116) - My FY2020 C2 Form 471 - Form # 201000005 Last Saved: 1/8/2020 10:52 AM EST Basic Information Entity Information Funding Requests Certify Please complete the certifications below. **Applicant Certifications** Check both 🗾 I certify that the entities listed in this application are eligible for support because they are schools under the statutory definitions of elementary and secondary schools found in the No Child Left Behind Act of 2001, 20 U.S.C. §§ 7801(18) and (38), that do not operate as for-profit businesses and do not have endowments exceeding \$50 million. I certify that the entity I represent or the entities listed on this application have secured access, separately or through this program, to all of the resources, including computers, training, software, internal connections, maintenance, and electrical capacity, necessary to use the services purchased effectively. I recognize that some of the aforementioned resources are not eligible for support. I certify that the entities I represent or the entities listed on this application have secured access to all of the resources to pay the discounted charges for eligible services from funds to which access has been secured in the current funding year. I certify that the Billed Entity will pay the non-discount portion of the cost of the goods and services to the service provider(s). **Total Funding Summary** Below is a summary of the total line item costs on this FCC Form 471: Summary Total funding year pre-discount eligible amount on this FCC Form 471 \$16,000.00 THESE TWO Total funding commitment request amount on this FCC Form 471 \$12,800.00 Total applicant non-discount share of the eligible amount \$3,200.00 Type in the budget amount Total budgeted amount allocated to resources not eligible for E-rate support \$100,000,00 (\$0 is the default value) Total amount necessary for the applicant to pay the non-discount share of eligible and any \$103,200.00 ineligible amounts Are you receiving any of the funds directly from a service provider listed on any of the FCC Forms No 471 filed by this Billed Entity for this funding year? Has a service provider listed on any of the FCC Forms 471 filed by this Billed Entity for this funding No year assisted you in locating funds needed to pay your non-discounted share?



of these

CHANGE

ITEMS TO

"NO" III

# Check all of these boxes

- ✓ I certify an FCC Form 470 was posted and that any related RFP was made available for at least 28 days before considering all bids received and selecting a service provider. I certify that all bids submitted were carefully considered and the most cost-effective service offering was selected, with price being the primary factor considered, and is the most cost-effective means of meeting educational needs and technology goals.
- ▼ I certify that the entity responsible for selecting the service provider(s) has reviewed all applicable FCC, state, and local procurement/competitive bidding requirements and that the entity or entities listed on this application have complied with them.
- ✓ I certify that the services the applicant purchases at discounts provided by 47 U.S.C. § 254 will be used primarily for educational purposes, see 47 C.F.R. § 54.500 and will not be sold, resold or transferred in consideration for money or any other thing of value, except as permitted by the Commission's rules at 47 C.F.R. § 54.513. Additionally, I certify that the entity or entities listed on this application have not received anything of value or a promise of anything of value, as prohibited by the Commission's rules at 47 C.F.R. § 54.503(d), other than services and equipment sought by means of this form, from the service provider, or any representative or agent thereof or any consultant in connection with this request for services.
- ✓ I certify that I and the entity(ies) I represent have complied with all program rules and I acknowledge that failure to do so may result in denial of discount funding and/or cancellation of funding commitments. There are signed contracts or other legally binding agreements covering all of the services listed on this FCC Form 471 except for those services provided under non-contracted tariffed or month-to-month arrangements. I acknowledge that failure to comply with program rules could result in civil or criminal prosecution by the appropriate law enforcement authorities.
- ☑ I acknowledge that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the service, receive an appropriate share of benefits from those services.
- ✓ I certify that I will retain required documents for a period of at least 10 years (or whatever retention period is required by the rules in effect at the time of this certification) after the later of the last day of the applicable funding year or the service delivery deadline for the associated funding request. I acknowledge that I may be audited pursuant to participation in the schools and libraries program. I certify that I will retain all documents necessary to demonstrate compliance with the statute and Commission rules regarding the application for, receipt of, and delivery of services receiving schools and libraries discounts, and that if audited, I will make such records available to USAC.
- ✓ I certify that I am authorized to order telecommunications and other supported services for the eligible entity(ies) listed on this application. I certify that I am authorized to submit this request on behalf of the eligible entity(ies) listed on this application, that I have examined this request, that all of the information on this form is true and correct to the best of my knowledge, that the entities that are receiving discounts pursuant to this application have complied with the terms, conditions and purposes of the program, that no kickbacks were paid to anyone and that false statements on this form can be punished by fine or forfeiture under the Communications Act, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001 and civil violations of the False Claims Act.
- I acknowledge that FCC rules provide that persons who have been convicted of criminal violations or held civilly liable for certain acts arising from their participation in the schools and libraries support mechanism are subject to suspension and debarment from the program. I will institute reasonable measures to be informed, and will notify USAC should I be informed or become aware that I or any of the entities listed on this application, or any person associated in any way with my entity and/or the entities listed on this application, is convicted of a criminal violation or held civilly liable for acts arising from their participation in the schools and libraries support mechanism.
- ☑ I certify that if any of the Funding Requests on this FCC Form 471 are for discounts for products or services that contain both eligible and ineligible components, that I have allocated the eligible and ineligible components as required by the Commission's rules at 47 C.F.R. § 54.504.
- ✓ I certify that the non-discount portion of the costs for eligible services will not be paid by the service provider. The pre-discount costs of eligible services featured on this FCC Form 471 are net of any rebates or discounts offered by the service provider. I acknowledge that, for the purpose of this rule, the provision, by the provider of a supported service, of free services or products unrelated to the supported service or product will be considered a rebate of some or all of the cost of the supported services.

#### FCC Form 471 Help

Show Help

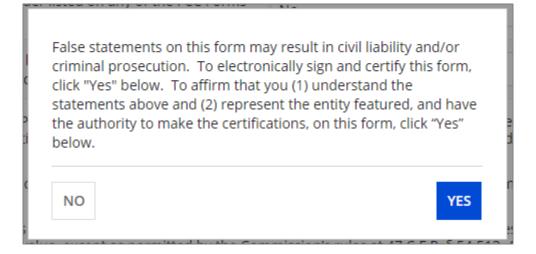
D (10) Click the "CERTIFY" button to certify the form --this is the equivalent to providing your electronic signature





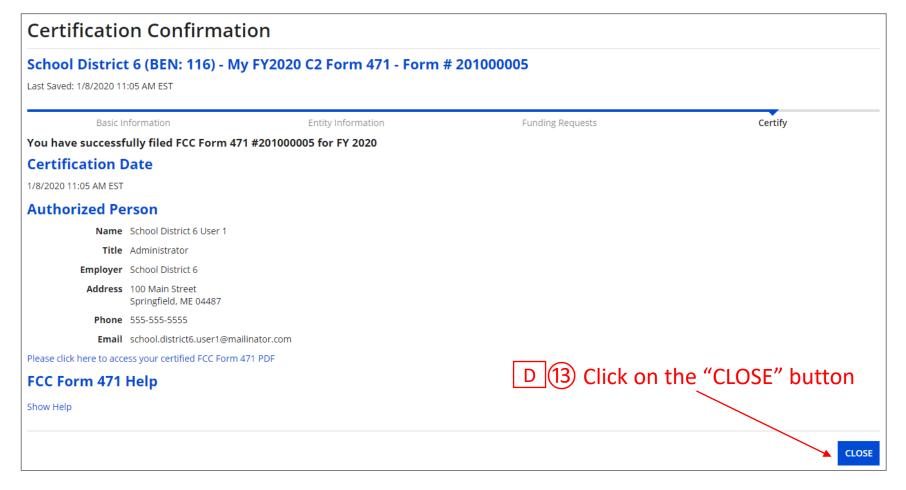


D 11 Read the message regarding the certification and click "YES" if you agree





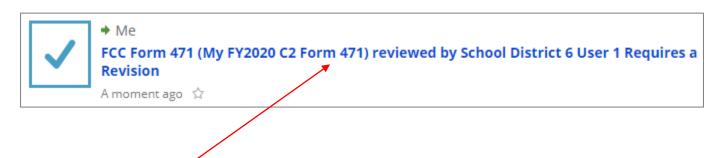
D 12 Once you click "YES" in the confirmation message, the form will be certified. Information about the certifier will display.





#### [User Sent a Form for Revising]

- E If you are a user who was sent a form that needs revision:
  - E 1 You will receive a task indicating a form needs revision



E 2 Click on the task to continue

### [User Sent a Form for Revising]



- E 3 Read the instructions and click on the "CONTINUE TO FORM" button to make the necessary changes to the form
- E 4 Complete the appropriate steps to either certify the form yourself or send it back to another user to be certified

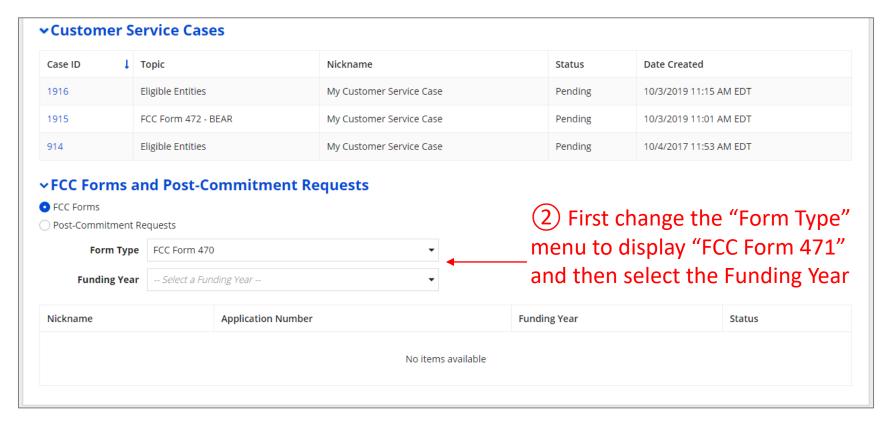


# **Revisiting Forms**



From the Landing Page, you can revisit both incomplete and certified forms

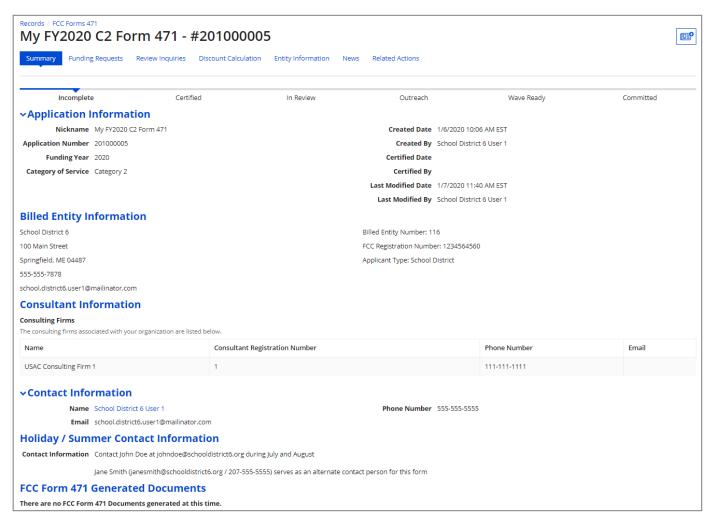
1 Scroll to the "FCC Forms and Post-Commitment Requests" section of the Landing Page



If you wish, change whether all forms, just incomplete forms, just certified forms, or just committed forms are listed

→FCC Forms and Post-Commitment Requests						
• FCC Forms			Statu	Status O All		
O Post-Commitment Requests				○ Incomplete		
Form Type	FCC Form 471 2020					
Funding Year			•			
Application Number		Nickname	Funding Year	Status	Certified Date	
201000001		My FY2020 C1 Form 471	2020	Certified	1/7/2020 2:31 PM EST	
201000005		My FY2020 C2 Form 471	2020	Incomplete		

3 Click on a form number to display it

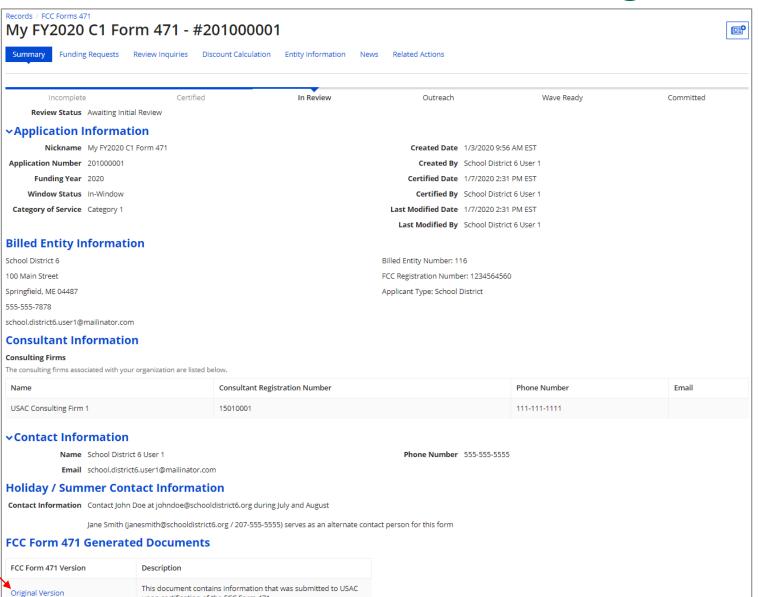


Incomplete forms display with the form's summary information

Use the links on the top to view the various sections of the form

To edit an incomplete form, go to the "Tasks" page





Completed,
certified forms
display with a
link where you
can download a
copy of the form



upon certification of the FCC Form 471.

# **Next Steps**



### What happens after I submit the form?

Check the News feed to view updates related to the filed form.



**E-rate Productivity Center** This Receipt Acknowledgement Letter (RAL) is an acknowledgement that USAC has received School District 6's FCC Form 471 - 201000001 for Funding Year 2020 on 1/7/2020. Each service provider featured on this form will also be notified of the information submitted for the service provider.

It is important that you review this form now to make sure the products and services you have listed on your FCC Form 471 are correct and complete, and if necessary, take the appropriate corrective action as soon as possible. You are allowed to correct certain errors on your form, but not others. For fields that allow a correction, you will be able to edit the information in the form field directly. To determine what corrections are allowed and why, see the "List of Correctable Ministerial and Clerical Errors" on our website.

#### NEXT STEPS

- Gather the documentation you used to complete your form and the entries in your profile (e.g., NSLP numbers, list of entities receiving services, Category Two cost al **...More** 

The Receipt Acknowledgment Letter will be included in your News feed



### What happens after I submit the form?

Program Integrity Assurance (PIA) will review your application after it is submitted and communicate with you through EPC.





## What happens during review?

- Reviewers verify the eligibility of the schools and libraries and their discount levels.
- Reviewers verify that the services requested are eligible for E-Rate discounts.
- You are given an opportunity to make allowable corrections to your form.
- Reviewers communicate with you with requests for additional documentation.
- Reviewers may ask for additional verification of your compliance with program rules.



## What do I do during a PIA Review?

- Have documentation ready
- Respond to inquiries and provide information promptly, by the deadline (usually 15 days)
- Ask for clarification if you are unsure what is needed
- Ask early for an extension if you need it
- Put responses in writing
- Document all responses



## What happens after the review?



#### **E-Rate Productivity Center**

Nov 7, 2019 · 🖴

Thank you for submitting your application for Funding Year 2019 Schools and Libraries Program (E-rate) funding. Attached to this post, you will find the funding statuses for the FCC Form(s) 471, Services Ordered and Certification Form, that you submitted.

The Universal Service Administrative Company (USAC) is providing this information to both the applicant(s) and the service provider(s) so that all parties are aware of the post-commitment changes related to their funding requests and can work together to complete the funding process for these requests.

#### Next Steps

- 1. Work with your service provider(s) to determine if your bills will be discounted or if you will request reimbursement from USAC after paying the undiscounted cost for the services you receive.
- 2. Review the Children's Internet Protection Act (CIPA) requirements and file the FCC Form 486 (Service Confirmation and CIPA Certification Form). The deadline to submit this form is 120 days from the date of this letter ...More

#16055092 - NETWORKMAINE FY2019 TR CONSOLIDATED - #191018664

> More info



NETWORKMAINE Funding Commitment Decision Letter

PDF - 42 K



FCC Form 471 - 191018664 - NETWORKMAINE

CSV - 3 KB

After the review is completed, USAC makes a funding decision on your application and issues a Funding Commitment Decision Letter (FCDL) in EPC and via email.



### What is the FCDL?

The Funding Commitment Decision Letter (FCDL) is a letter issued by USAC to both the applicant and the service provider that contains decisions on their funding requests. The letter states which funding requests of the Form 471 are approved or denied.

Applicants and service providers should carefully review their FCDL for details on approved or denied requests and any adjustments made to the original requests, as well as next steps (such as the deadline for filing an appeal).



## What do I save for my files?

- **★** Save all records for at least 10 years from the last date of service ★
- Copies of mechanisms used to gather NSLP data
- A copy of the completed Form 471
- Copies of spreadsheets or any other mechanisms used to calculate costs
- FCC Form 471 Receipt Acknowledgement Letter
- A copy of all correspondence with reviewers
- A copy of all documentation submitted during PIA review
- Funding Commitment Decision Letter

